

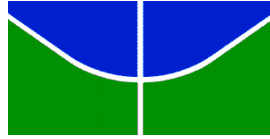
Universidade de Brasília
Instituto de Ciências Biológicas
Programa de Pós-Graduação em Ecologia

**O comportamento reprodutivo do tiziu
(*Volatinia jacarina*) sob risco de predação**

PEDRO ZURVAINO PALMEIRA MELO ROSA DE MORAES

Orientadora: Regina H. F. Macedo

Brasília, DF
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Tese apresentada ao Programa de Pós-Graduação em Ecologia, do Departamento de Ecologia da Universidade de Brasília, como parte dos requisitos para a obtenção do grau de Doutor em Ecologia

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RESUMO

Dentre as pressões seletivas que podem influenciar a evolução de diferentes estratégias comportamentais, o risco de predação pode ser considerado uma das mais importantes por potencialmente ceifar as oportunidades futuras de aptidão de um animal. Diversos estudos com os mais variados táxons já demonstraram a plasticidade comportamental que animais apresentam em suas estratégias reprodutivas sob risco de predação. Predadores podem influenciar, por exemplo: as estratégias de busca e atração de parceiros apresentadas por machos, o padrão de escolha de parceiros por fêmeas e o cuidado parental realizado por ambos. Embora estes efeitos do risco de predação na reprodução sejam bem conhecidos, não há muitos estudos que utilizem aves neotropicais como modelos. Além das plumagens e *displays* conspícuos exibidos por estas aves, elas vivem em ambientes com taxas de predação elevadas. Logo, é interessante investigar como estes organismos conseguem se reproduzir de maneira tão conspícua mesmo correndo o risco de atrair predadores e diminuir suas chances de sobrevivência. Os machos de tiziu (*Volatinia jacarina*), por exemplo, apresentam um *display* sexual composto por saltos, canto e exibição de plumagem ornamentada. Além disso, os ninhos dessa espécie sofrem altas taxas de predação e estudos passados com o tiziu já demonstraram que a exibição sexual de machos pode atrair predadores para o ninho. Mas nenhum trabalho até o momento investigou se e como machos e fêmeas de tiziu modificam suas estratégias reprodutivas de modo a contornar o risco de predação iminente. Esta tese, portanto, objetivou responder perguntas acerca da reprodução do tiziu sob risco de predação. Especificamente, investiguei como predadores influenciam: o comportamento sexual dos machos; a escolha de parceiros pelas fêmeas; e o cuidado parental dedicado aos ninhos. Experimentos foram executados em condições naturais e em cativeiro onde utilizei *playbacks* acústicos de aves simpátricas ao tiziu para simular diferentes níveis de risco de predação: predador de adultos, predador de ninhos e controle sem risco. Quanto à execução de *displays*

sexuais, eu observei que machos modificam sua performance de acordo com o risco de predação, mas este interage com a condição individual. Machos mais parasitados e menos ornamentados aumentam a intensidade de seus *displays* com o aumento do risco de predação, o que pode ser considerado uma estratégia de investimento terminal. Quanto à escolha de parceiros, eu observei que fêmeas são indiferentes aos estímulos dos machos apresentados e este padrão de ausência de escolha foi independente do risco de predação. Aqui, eu discuto como esse resultado pode significar um padrão de seleção indireta de parceiros nesta espécie, através da disputa de machos por territórios. Por fim, eu observei que tizius modificam o cuidado biparental de acordo com o risco de predação. A resposta parental, no entanto, pode ter sido influenciada pelo dicromatismo sexual da espécie: machos, mais conspícuos, diminuem suas atividades no ninho, enquanto fêmeas, mais crípticas, aumentam a duração dos turnos de incubação. Estas estratégias dos sexos podem ter o objetivo comum de diminuir a detectabilidade visual e acústica do ninho por predadores. Estes resultados demonstram as adaptações comportamentais de uma espécie que sobrevive e reproduz em um ambiente com altas taxas de predação, como é o caso da região Neotropical.

Palavras-chave: *display* sexual; escolha de parceiros; cuidado parental; hipótese do investimento terminal; seleção de parceiros indireta; dicromatismo sexual.

ABSTRACT

Predation risk is a major evolutionary pressure that influences the evolution of different behavioral strategies, given that a predation event will result in the animal losing all future fitness. Several studies with diverse taxa have demonstrated the behavioral plasticity that animals present in their reproductive strategies under predation risk. For instance, predators may influence: male mate searching strategies and mate attraction, female partner choice and biparental care. Although predation risk effects on reproduction are well known, there is a shortage of research using Neotropical birds as study models. In addition to the conspicuous plumages and displays exhibited by these birds, they live in high predation rate environments. Therefore, it is worthwhile to investigate how these organisms are able to reproduce conspicuously even under the risk of attracting predators and, hence, reducing survival probability. Males of the Neotropical songbird blue-black grassquit (*Volatinia jacarina*), for instance, perform sexual displays composed of conspicuous leaps and songs and have ornamented plumages. Further, there is a high nest predation rate for this species and past studies showed that male sexual displays attract predators to the nest. But no study until this moment has investigated how grassquit males and females modify their reproductive strategies to decrease predation risk. Therefore, the objective of this thesis was to answer questions related to grassquit reproduction under predation risk. Specifically, I investigated how predators influence: male sexual behavior, female partner choice, and biparental care. I performed experiments in field and captive conditions and used acoustic playback of sympatric birds to simulate different levels of perceived predation risk: predator of adults, predator of nests, and no-risk control. Regarding sexual display performance, I observed that males modify their displays according to predation risk, but this effect interacts with individual condition. Less parasitized and more ornamented males increase display intensity under high predation risk, which may be considered a terminal investment strategy.

Regarding mate choice, I found that females are irresponsive to male stimuli and this lack of choice pattern was independent of predation risk. Here, I discuss how this result possibly means that grassquit females perform an indirect mate choice based on male competition for territories. Lastly, I observed that grassquits modify biparental care according to predation risk. Parental responses, however, may be influenced by the sexual dichromatism presented by grassquits: conspicuous males reduce nest activities, while cryptic females increase the duration of brooding bouts. These sex-related responses may be strategies with the common goal to reduce nest visual and acoustic detectability by predators. These results highlight the behavioral adaptations of a species that survives and breeds in high predation rate environments such as the Neotropics.

Keywords: sexual display; mate choice; parental care; terminal investment hypothesis; indirect mate choice; sexual dichromatism.

INTRODUÇÃO GERAL

1 A partir das premissas da teoria evolutiva e da ecologia comportamental, espera-se
2 que um animal administre seu orçamento temporal diário em atividades como forrageio,
3 socialização e reprodução, de modo a garantir sua sobrevivência e aptidão (Alcock, 2009;
4 Darwin, 1859; Krebs & Davies, 1993). Embora a busca por alimentos e parceiros
5 reprodutivos exerça pressões seletivas relevantes na evolução de traços que propiciem a
6 otimização destas atividades, um animal que não se alimente em um dia ou que não consiga
7 acasalar em uma estação reprodutiva pode ter oportunidades futuras para cumprir estas
8 necessidades. O mesmo não acontece no caso de o animal ser predado, quando suas
9 perspectivas de aptidão futura são anuladas. O risco de predação, portanto, é considerado uma
10 das pressões seletivas mais influentes na evolução de traços morfológicos e comportamentais
11 em animais (Lima & Dill, 1990).

12 São muitos os exemplos de comportamentos de animais de grupos diferentes que
13 podem ter suas origens ligadas a um risco de predação iminente. A alta mortalidade da prole
14 de aves por ataques de predadores pode ter selecionado traços ligados à construção de ninhos
15 e ao cuidado parental (Fontaine et al., 2007). A própria vida em grupo em muitos
16 invertebrados e vertebrados pode ter surgido como uma estratégia de efeito de diluição ou
17 para aumentar as chances de defesa contra predadores (Hamilton, 1971). Portanto, a
18 investigação da influência que predadores podem exercer sobre suas presas pode auxiliar o
19 melhor entendimento de muitos dos comportamentos apresentados por animais.

20 Por exemplo, por muito tempo se pensou que a taxa de alimentação seria o principal
21 fator de variação nas estratégias reprodutivas de aves, uma vez que a quantidade de alimento
22 consumido pode influenciar a fecundidade de um animal (Martin, 1987). Contudo, ao incluir
23 o efeito do risco de predação de ninhos nas taxas de fecundidade de pássaros norte-
24 americanos, Martin (1995) observou que a pressão seletiva exercida por predadores
25 apresentava uma maior influência na reprodução e sobrevivência destas aves, maior até do

26 que a exercida pela necessidade de alimentação. Desde então, muitos estudos têm
27 demonstrado o efeito do risco de predação sobre diversos aspectos comportamentais de
28 pássaros, como a escolha dos sítios de nidificação (Eggers et al., 2006), o tamanho da
29 ninhada (Martin et al., 2000a), a taxa de entrega de alimento aos filhotes no ninho
30 (Ghalambor & Martin, 2000), e o período de incubação (Massaro et al., 2008).

31 O efeito do risco de predação na reprodução não se limita à criação da prole, mas
32 também pode ocorrer antes mesmo da fecundação. Na maioria das espécies animais, os
33 machos precisam se engajar ativamente em estratégias voltadas para a atração de fêmeas e
34 oportunidade de cópulas (Darwin, 1871). Muitas dessas estratégias envolvem a apresentação
35 de ornamentos, seja de partes chamativas do corpo ou de objetos encontrados no ambiente,
36 e/ou a realização de comportamentos ritualizados e conspícuos (i.e. *displays*). Embora o foco
37 principal destes comportamentos seja a atração de fêmeas, os machos também estão sujeitos à
38 detecção por predadores quando realizam seus *displays* sexuais (Stuart-Fox et al., 2003; Zuk
39 & Kolluru, 1998). Portanto, a evolução de caracteres sexuais em machos pode ser resultante
40 de um *trade-off* entre a capacidade de atração de fêmeas e a necessidade de evitar-se a
41 predação. É válido notar que fêmeas de aves, normalmente não sujeitas às pressões mais
42 intensas da seleção sexual, apresentam colorações crípticas que as camuflam no ambiente,
43 provavelmente em resposta ao risco de predação (Caro, 2005).

44 A presença de predadores no ambiente também pode afetar a escolha das fêmeas por
45 parceiros para o acasalamento. Uma vez que o maior risco de predação pode aumentar os
46 custos relacionados à reprodução, fêmeas podem se comportar de maneira oportunista e não
47 discriminar os machos de acordo com a qualidade demonstrada por seus *displays* sexuais
48 (Godin & Briggs 1996). Contudo, a evolução de ornamentos sexuais em machos a partir da
49 escolha das fêmeas pode ter relação com a maior exposição do sexo masculino a predadores.
50 Segundo esta hipótese (Zahavi, 1975), em um ambiente com alto risco de predação, fêmeas

51 podem preferir machos mais ornamentados por estes demonstrarem sua melhor qualidade,
52 seja na capacidade de prover e defender a prole ou mesmo na herança genética de melhores
53 habilidades de evite de predação.

54 Dada a influência do risco de predação sobre os diversos comportamentos
55 reprodutivos, fica claro que predadores não são apenas agentes da seleção natural que afetam
56 diretamente a sobrevivência de uma presa, mas também podem exercer pressão evolutiva nas
57 tomadas de decisão referentes a seleção intra e intersexual.

58

59 *Displays sexuais sob risco de predação*

60 Diferentemente da seleção natural, que promove a evolução de traços ligados à maior
61 sobrevivência e/ou reprodução de uma estirpe, a seleção sexual atua sobre traços que
62 aumentem o potencial reprodutivo de um organismo, mesmo que sua capacidade de
63 sobrevivência seja diminuída no processo (Darwin, 1871). Em muitos casos, *trade-offs* são
64 observados na ontogenia e no comportamento de um mesmo indivíduo, onde o investimento
65 na atração de parceiros, na maior fertilização de gametas e/ou na criação de uma maior prole
66 resulta em um decréscimo significativo do tempo de vida do mesmo, e vice-e-versa (Brooks,
67 2000; Hunt et al., 2004). Em termos práticos, um organismo bem adaptado ao seu ambiente
68 será aquele que consegue otimizar suas atividades, de modo a se manter vivo por tempo
69 suficiente para garantir sua representatividade genética na geração seguinte (Andersson,
70 1994). É uma fórmula aparentemente simples, que se complica com a introdução da dinâmica
71 presa-predador.

72 Do ponto de vista de uma presa que precisa se reproduzir, encontrar um parceiro
73 reprodutivo em um ambiente com alto risco de predação pode ser uma tarefa difícil. Buscar
74 ativa e furtivamente por um parceiro pode demandar um tempo e exigir uma quantidade de
75 energia que um animal não tem condições de investir, tendo em vista que sua manutenção

76 também depende de outras atividades, como forrageio (Krebs & Davies, 1993) ou mesmo
77 tempo para descanso. Um modo de economizar tempo é alertar a sua posição a potenciais
78 parceiros reprodutivos, emitindo sinais em uma ou mais modalidades sensoriais no ambiente
79 e alcançar receptores atentos a estes sinais (Bradbury & Vehrencamp, 2011). Contudo, não
80 apenas parceiros reprodutivos podem captar esta sinalização; predadores também podem estar
81 entre os receptores (Stuart-Fox et al., 2003; Zuk & Kolluru, 1998).

82 Como machos geralmente competem pelo acesso às fêmeas, muitas vezes por
83 exibições visuais e acústicas chamativas, é este sexo que costuma enfrentar o *trade-off* entre
84 atração de parceiras e de predadores (Godin, 1995). Para lidar com predadores
85 interceptadores de sinalizações, machos dos mais diversos grupos taxonômicos apresentam
86 estratégias reprodutivas que variam de acordo com o contexto predatório. Por exemplo,
87 machos da esperança Neotropical *Docidocercus gigliotosi* atraem parceiras emitindo sinais
88 acústicos no ar (Römer et al., 2010). No entanto, estes sinais são interceptados pelo morcego
89 *Lophostoma silvicolum*, que utiliza a sinalização dos machos para detectá-los e depois predá-
90 los. Em noites de lua cheia, quando a maior iluminação no ambiente permite uma localização
91 visual mais eficiente por parte dos morcegos, os machos de *D. gigliotosi* aumentam a
92 frequência de sinalizações por estridulação no substrato. Esta modalidade sensorial é mais
93 custosa que a emissão de vibrações no ar e alcança apenas receptores que compartilham o
94 mesmo substrato que o emissor. Logo, machos desta espécie optam por estratégias menos
95 eficientes e que demandam mais energia na atração de fêmeas em contextos de maior risco de
96 predação, de modo a evitar o alto custo de ser predado ao empregar estratégias menos
97 custosas, porém mais conspícuas (Römer et al., 2010).

98 A literatura está repleta de exemplos de como presas modificam seus
99 comportamentos, reprodutivos ou não, quando confrontadas com um maior risco de predação.
100 Contudo, a maior parte dos estudos focados em reprodução tem utilizado artrópodes e

101 vertebrados como peixes, anfíbios e lagartos como modelos experimentais (Lima & Dill
102 1990, Magnhagen 1991) e apenas recentemente alguma atenção tem sido dada às aves (e.g.
103 Grunst et al. 2015). Tendo em vista a conspicuidade visual e acústica que aves, especialmente
104 machos em estágio reprodutivo, podem apresentar, é interessante que estudos sejam
105 realizados para se averiguar como organismos tão chamativos realizam suas atividades
106 reprodutivas ao mesmo tempo em que lidam com o custo decorrente da atração de
107 predadores.

108

109 *Escolhendo parceiros sob risco de predação*

110 Segundo os preceitos da seleção sexual (Darwin 1871), o sexo que fornece o menor
111 investimento médio na reprodução (normalmente os machos) deve competir entre si para ter
112 acesso a oportunidades reprodutivas com parceiros do sexo que apresenta o maior
113 investimento em reprodução (normalmente fêmeas). Enquanto machos interagem
114 agressivamente ou executam exibições contínuas, vigorosas e conspícuas, às fêmeas é
115 atribuída a escolha de qual macho será o genitor de sua prole. Essa tomada de decisão da
116 fêmea pode ser influenciada por fatores intrínsecos, como genótipo, hormônios e capacidades
117 sensoriais (Brooks & Endler, 2001; Lynch et al., 2006; Ronald et al., 2012), e por fatores
118 externos, como o contexto social em que as fêmeas estão inseridas e as variáveis ecológicas
119 do ambiente (Hunt et al., 2005; Madden & Whiteside, 2013).

120 Um possível fator a influenciar a escolha das fêmeas é o risco de predação. Ao
121 realizar seus *displays* sexuais ou ostentar estruturas morfológicas chamativas, machos se
122 expõem a um maior risco de predação, o que é caracterizado como o “princípio da
123 desvantagem” (Zahavi, 1975). Segundo esta proposta, um macho que consegue arcar com o
124 custo da alta exposição a predadores e sobreviver em um ambiente hostil, mesmo
125 apresentando estruturas ou comportamentos conspícuos e desvantajosos, é um macho de boa

126 qualidade e, portanto, deve ser escolhido como parceiro reprodutivo pelas fêmeas. Por
127 exemplo, fêmeas do peixe espada (*Xiphophorus helleri*) preferem machos que possuem
128 nadadeiras caudais longas (MacLaren, 2017). Machos que apresentam esta característica, no
129 entanto, são mais visados por predadores, que direcionam mais ataques a machos com caudas
130 longas do que a machos que tiveram suas caudas removidas experimentalmente (Hernandez-
131 Jimenez & Rios-Cardenas, 2012). Ao escolher um parceiro ornamentado em um ambiente
132 com alto risco de predação, as fêmeas estariam garantindo, por exemplo, um cuidado parental
133 mais eficiente, tanto na coleta de recursos como na defesa da prole por parte dos machos.
134 Ainda segundo essa hipótese, em casos em que as fêmeas não recebem benefícios diretos dos
135 machos, os filhotes podem herdar os caracteres genéticos responsáveis pelas características
136 antipredatórias do macho.

137 Por outro lado, o risco de predação pode ter um efeito contrário ao esperado pelo
138 princípio da desvantagem de Zahavi (1975). Por exemplo, Godin & Briggs (1996)
139 observaram que fêmeas de *guppy* (*Poecilia reticulata*) diminuem sua discriminação entre
140 machos e passam a escolher parceiros aleatoriamente quando confrontadas com predadores.
141 Estes autores observaram também que as fêmeas consideram o padrão de coloração dos
142 machos, preferindo machos mais coloridos, quando o risco de predação é baixo. Outro
143 importante exemplo é o estudo de Johnson & Basolo (2003) com o peixe *Xiphophorus*
144 *helleri*. Nestes peixes, as fêmeas não apenas alteram sua preferência pelos machos, como
145 passam a evitar aqueles mais conspícuos e preferir os mais crípticos. Um caso extremo foi
146 constatado por Willis et al. (2012), onde fêmeas de *X. birchmanni* não preferiam machos da
147 própria espécie quando podiam escolher entre coespecíficos em ambientes de maior risco de
148 predação e heteroespecíficos (*X. malinche*) em ambientes de menor risco, potencialmente
149 aceitando o custo de gerar híbridos em troca do aumento na sobrevivência. Estes três estudos
150 demonstram que o risco de predação pode influenciar a escolha de parceiros por fêmeas ao

151 inserir um custo na sobrevivência destas caso se associem a machos conspícuos, o que
152 potencialmente pode reduzir a seleção pelo sinal exagerado dos machos e frear a evolução do
153 mesmo. Dada a diversidade de estruturas e comportamentos conspícuos utilizados na atração
154 de parceiros (Darwin, 1871), é interessante questionar se fêmeas de diferentes espécies
155 modificam ou não seu padrão de escolha de parceiros em diferentes níveis de risco de
156 predação.

157

158 *Cuidando da prole sob risco de predação*

159 O cuidado parental é definido como todo e qualquer comportamento executado pelos
160 pais que aumenta as chances de sobrevivência da prole atual, mas diminui a capacidade
161 parental de investir em proles futuras (Trivers, 1972; Clutton-Brock, 1991). Conforme o nível
162 de dependência da prole, o investimento parental pode ocorrer antes mesmo do nascimento
163 do filhote, com fêmeas alocando recursos durante a formação do ovo (e.g. Coslovsky &
164 Richner, 2011), até depois da maturação sexual da prole, quando uma vida longa permite que
165 os filhos continuem em contato com os pais (e.g. Hawkes et al., 1997). O nível de cuidado
166 alocado a uma prole específica pode variar com fatores ambientais, como a disponibilidade
167 de alimento (Dewey & Kennedy, 2001) e o clima (Öberg et al., 2014). Assim, pais podem
168 frequentemente enfrentar o *trade-off* entre criar uma ninhada que atualmente apresenta
169 menores chances de sobrevivência, ou abandoná-la e reservar recursos para gerar uma nova
170 prole quando as condições ambientais forem melhores (Clutton-Brock, 1991).

171 Um dos fatores que pode influenciar na decisão entre cuidar ou abandonar uma prole
172 é o risco de predação, sendo este um dos principais motivos para o insucesso de ninhos em
173 aves (Martin, 1995). Uma vez que predadores podem usar o comportamento dos pais para
174 encontrar o ninho (Martin et al., 2000b), estes se veem obrigados a modificar o cuidado com
175 a prole para garantir a sua sobrevivência. O cuidado parental em aves é reconhecidamente

176 plástico em situações de maior risco de predação, com pais alterando nessas condições, por
177 exemplo, o tamanho da ninhada (Martin et al., 2000a), a taxa de provisionamento aos filhotes
178 (Ghalambor & Martin, 2000) e o período de incubação (Massaro et al., 2008).

179 Um fator que pode interagir com o risco de predação e influenciar o cuidado parental
180 é a coloração apresentada pelos pais. Em espécies de aves sexualmente dicromáticas, fêmeas
181 comumente apresentam cores mais pálidas, enquanto machos são bastante conspícuos
182 (Andersson, 1994). Como indivíduos conspícuos são mais facilmente detectados por
183 predadores visualmente orientados (Endler, 1992), o cuidado biparental pode ser diferenciado
184 de acordo com o sexo em espécies dicromáticas (Colombelli-Négrel & Kleindorfer, 2010;
185 Ekanayake et al., 2015; Křištofková et al., 2011). Por exemplo, machos da batuíra-de-capuz-
186 vermelho (*Charadrius ruficapillus*) incubam durante a noite, enquanto fêmeas ficam
187 responsáveis pela incubação durante o dia (Ekanayake et al., 2015). Esta espécie apresenta
188 dicromatismo sexual na plumagem da cabeça, onde machos apresentam penas mais
189 avermelhadas que fêmeas. Predadores ativos durante o dia e que utilizam a visão para
190 encontrar suas presas predam machos em maior frequência que fêmeas; no entanto, não há
191 diferença na taxa de predação entre os sexos durante a noite, quando predadores visuais estão
192 inativos (Ekanayake et al., 2015). Assim, dadas as diferenças sexuais de coloração e
193 detectabilidade por predadores, comportamentos parentais gênero-específicos podem evoluir
194 em resposta ao risco de predação.

195 Além da presença ou ausência momentânea de predadores nas proximidades do ninho,
196 o cuidado parental em aves também está relacionado ao risco de predação histórico ao qual
197 uma espécie e seus ancestrais foram submetidos (Ghalambor et al. 2013). Em um estudo
198 comparativo entre espécies de zonas temperadas e de zonas subtropicais, as quais sofrem
199 historicamente diferentes taxas de predação (Ricklefs 1969, Skutch 1985), Ghalambor et al.
200 (2013) observaram que todas as espécies estudadas apresentaram uma mudança significativa

201 no comportamento de cuidado parental com o aumento do risco de predação percebido.
202 Contudo, espécies que vivem em ambientes com maiores taxas de predação (i.e. subtropicais)
203 tiveram respostas mais intensas às pistas dos predadores, reduzindo o risco de predação no
204 ninho com o custo concomitante de reduzir a taxa de provisionamento dos filhotes. Embora
205 espécies tropicais sejam mais suscetíveis a predadores, a maior parte dos estudos sobre
206 predação de ninhos investigou espécies de zonas temperadas e pouco se sabe sobre o
207 comportamento parental de aves Neotropicais sob risco de predação (Ibáñez-Álamo et al.
208 2015).

209

210 *Espécie de estudo*

211 Tendo em vista a importância do risco de predação como pressão seletiva a
212 influenciar diversas etapas de vida de um indivíduo, eu utilizei o tiziu (*Volatinia jacarina*)
213 como modelo animal para responder perguntas referentes a este tema. O tiziu se mostra como
214 um modelo promissor para este estudo por que, além de ser uma espécie Neotropical, esta ave
215 também apresenta uma série de traços morfológicos e comportamentais que podem
216 teoricamente se relacionar com a ação de predadores. Primeiro, durante a estação reprodutiva
217 (novembro a abril; Sick, 1997), os machos desta espécie passam por uma muda e substituem
218 o padrão de plumagem amarronzado críptico, também apresentado por fêmeas e juvenis, para
219 uma coloração negro-azulada iridescente (Maia & Macedo, 2011). Não obstante a
220 conspicuidade desse caractere sexual secundário, os machos desta espécie ainda defendem
221 pequenos territórios onde realizam *displays* aéreos provavelmente ligados à defesa de
222 territórios e à atração de oportunidades reprodutivas (Manica et al., 2013, 2017). Este *display*
223 consiste em séries de saltos verticais, executados em pequenos poleiros na vegetação e
224 repetidos intensivamente ao longo do dia, especialmente em dias ensolarados (Sicsú et al.,
225 2013). Ao saltar, o macho bate as asas atrás da cabeça e exhibe manchas de plumagem branca

226 embaixo das asas que contrastam com a coloração preto-azulada do restante do corpo
227 (Manica et al., 2013). No ponto mais alto do salto, o macho emite uma vocalização
228 característica que se junta ao som mecânico produzido pela batida de asas. Experimentos
229 anteriores demonstraram que este *display* multimodal é dependente da condição individual e,
230 portanto, pode sinalizar condições inerentes do macho (Aguilar et al., 2007).

231 Com a atração de uma parceira, ambos os sexos formam um par reprodutivo
232 socialmente monogâmico com cuidado biparental (Almeida & Macedo, 2001). O casal
233 constrói um ninho em forma de taça utilizando grama e arbustos como substrato, ficando a
234 alturas de 10 a 50 cm do chão (Almeida & Macedo 2001; Carvalho et al., 2007). O tamanho
235 da ninhada varia de 2 a 3 ovos, que demoram cerca de 10 dias para eclodir, enquanto os
236 ninhegos levam mais 10 dias para deixar o ninho (Carvalho et al., 2007). Mesmo com ninhos
237 ativos em seus territórios, machos continuam executando *displays* aéreos como uma possível
238 estratégia para atrair oportunidades de cópulas extra-par com fêmeas de territórios vizinhos
239 (Manica et al., 2016). As fertilizações extra-par são elevadas nesta espécie, onde até 50% dos
240 ninhegos podem não ser aparentados a um dos pais sociais (Manica et al. 2016). No entanto,
241 a pressão por cópulas extra-par é um fator problemático, tendo em vista que predadores de
242 ninhos podem ser atraídos ao território de machos que continuam executando *displays* (Dias
243 et al. 2010). Além disso, as taxas de predação de ninho nesta espécie são elevadas e até 80%
244 dos ninhos são predados em algumas estações (Macedo et al. 2012; Diniz et al. 2015).

245 Tizius, portanto, apresentam um sofisticado sistema social centrado no conflito entre
246 seleção natural e sexual, onde indivíduos sofrem uma forte pressão competitiva para
247 conseguir cópulas extra-par, enquanto uma alta mortalidade de ninhos pela ação de
248 predadores reprime as tentativas reprodutivas. Sendo assim, a investigação de como o risco
249 de predação influencia comportamentos reprodutivos do tiziu pode ajudar a entender como

250 esta espécie está adaptada a ambientes de alto risco, além de servir de modelo para outras
251 aves que sobrevivem e se reproduzem em ambientes hostis como o Neotrópico.

252

253 *Objetivos da tese*

254 Com esta tese, eu objetivei investigar o possível efeito do risco de predação sobre
255 comportamentos reprodutivos do tiziu (*Volatinia jacarina*). Dado o conflito adaptativo entre
256 sobrevivência e reprodução, eu levantei e testei diversas hipóteses para tentar prever como o
257 tiziu soluciona este *trade-off*. Eu executei três experimentos, tanto em ambiente natural
258 quanto em cativeiro, utilizando *playbacks* acústicos de predadores e de aves que não
259 oferecem risco para simular diferentes níveis de risco de predação. Em cada experimento,
260 uma etapa diferente do comportamento reprodutivo do tiziu foi explorada.

261 No primeiro capítulo, eu investiguei como tizius machos modificam seus *displays*
262 aéreos (um comportamento potencialmente ligado à competição entre machos e atração de
263 fêmeas) em diferentes níveis de risco de predação. Três cenários hipotéticos foram testados.
264 O primeiro deles previa que tizius machos devem priorizar a sobrevivência e reduzir a
265 intensidade de seus *displays* em condições de maior risco de predação, quando comparados a
266 situações controle de baixo risco (hipótese focada na sobrevivência; Lima & Dill, 1990;
267 Magnhagen, 1991). O segundo cenário previa que comportamentos de risco seriam
268 sexualmente selecionados e, portanto, machos deveriam aumentar a intensidade de seus
269 *displays* quando o risco de predação fosse maior (hipótese do princípio da desvantagem;
270 Zahavi, 1975). O último cenário previa que a resposta de um macho ao risco de predação
271 seria dependente do seu potencial reprodutivo residual. Ou seja, machos com maior
272 probabilidade de se reproduzir no futuro (i.e. machos de maior qualidade) devem reduzir a
273 intensidade dos seus *displays* quando o risco de predação é alto (hipótese da proteção de
274 recursos; Clark, 1994). Este cenário também prevê que machos com menor potencial

275 reprodutivo residual (i.e. machos de menor qualidade) devem aumentar o investimento
276 reprodutivo atual quando há um maior risco de morte extrínseca (hipótese do investimento
277 terminal, Pianka & Parker, 1975; Trivers, 1972; Williams, 1966). Portanto, espera-se que
278 machos de menor qualidade aumentem a conspicuidade de seus *displays* quando o risco de
279 predação for maior. Para testar estas hipóteses, eu avaliei as alterações de *displays* de machos
280 em ambiente natural frente a diferentes níveis de risco de predação simulado.

281 No segundo capítulo, eu investiguei como fêmeas de tiziu modificam seu padrão de
282 escolha de parceiros frente a diferentes níveis de risco de predação. Desta vez, duas hipóteses
283 foram testadas. A primeira delas previa que fêmeas deveriam preferir machos que
284 aumentassem a intensidade de seus *displays* com o aumento do risco de predação. Esta
285 predição foi baseada no princípio da desvantagem (Zahavi, 1975), onde machos com
286 características exageradas são selecionados pela sua qualidade inerente em sobreviver em
287 ambientes hostis mesmo apresentando traços conspícuos a predadores. A segunda hipótese
288 previa um padrão contrário, com fêmeas escolhendo machos ao acaso ou mesmo preferindo
289 machos com *displays* de intensidade reduzida. Isso porque, ao se associar com machos
290 chamativos, fêmeas podem aumentar o risco de predação sofrido por elas mesmas e por sua
291 prole (Dias et al., 2010; Marzal et al., 2016). Para testar estas duas hipóteses, eu realizei um
292 experimento em cativeiro onde fêmeas foram colocadas em uma arena experimental com dois
293 estímulos de machos de intensidades distintas (*playbacks* de canto) disponibilizados em cada
294 lateral da arena. O posicionamento das fêmeas na arena, utilizado como um indicador da
295 preferência pelo estímulo de um ou outro macho sendo simulado, foi registrado em diferentes
296 níveis de risco de predação, também simulados através de *playbacks*.

297 No terceiro capítulo, eu avaliei como o cuidado biparental no tiziu é modificado de
298 acordo com o risco de predação. Para tanto, ninhos de tiziu em campo foram observados
299 durante a apresentação de diferentes níveis de predação simulados por *playbacks*. Desta vez,

300 previmos que machos e fêmeas se comportariam de modo a reduzir a conspicuidade visual e
301 acústica do ninho quando o risco de predação fosse maior. Contudo, esta redução da
302 detectabilidade do ninho seria proporcionada diferentemente entre os sexos dado o
303 dicromatismo sexual presente nesta espécie. Ou seja, machos de tiziu, que apresentam
304 plumagem nupcial chamativa, devem reduzir suas visitas ao ninho e o tempo em que passam
305 no mesmo, enquanto fêmeas, de plumagem mais críptica, devem passar mais tempo no ninho
306 incubando e camuflando os filhotes. Dada a redução das atividades no ninho em resposta ao
307 maior risco de predação, também foi previsto que machos e fêmeas devem aumentar o
308 fornecimento de comida aos filhotes para evitar que os mesmos sofram um maior risco de
309 inanição. Por fim, eu observei se outros comportamentos relacionados ao ninho também são
310 afetados pelo risco de predação, como os movimentos de entrada e saída no mesmo e a
311 execução de *displays* por machos nos seus arredores.

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CAPÍTULO 1

Flirting with danger: predation risk affects male aerial display in a Neotropical songbird

1 ABSTRACT

2 Sexual signalling co-evolves with the sensory systems of intended receivers; however,
3 predators may be unintended receivers of these signals. Conspicuous aerial displays in some
4 species may place males at high risk of predation from eavesdropping predators. Here, we
5 used blue-black grassquits (*Volatinia jacarina*) to test whether males modify leap displays
6 under different levels of predation risk. Grassquit males develop an iridescent nuptial
7 plumage and spend considerable time emitting a multimodal signal: while leaping from a
8 perch, males clap their wings above their heads and emit a high-pitched short song. We
9 exposed males to predator and non-predator playbacks while video recording their displays.
10 Males in worse body condition exhibited more vigorous displays, regardless of predation risk.
11 Additionally, predation risk influenced displays as a function of ectoparasite infestation and
12 proportion of nuptial plumage coverage. Less parasitized males and those with higher
13 proportion of nuptial plumage showed no response to predation risk. Alternatively, more
14 parasitized males and those with lower proportion of nuptial plumage increased the vigour of
15 displays under predation risk. In other words, males with low residual reproductive value
16 increased reproductive effort when there was a high risk of extrinsic death. Our study
17 provides empirical support for the terminal investment hypothesis.

18 Keywords: blue-black grassquit; sexual display; sexual selection; handicap principle; asset
19 protection; terminal investment.

20

21 INTRODUCTION

22 Male displays may have a high degree of conspicuousness to attract females, but these highly
23 salient signals may also attract unintended receivers (Endler, 1992). Conspecific competitors,
24 parasites, and predators may eavesdrop on the signal (Otte, 1974; Zuk & Kolluru, 1998).
25 Therefore, selection is expected to favour those signallers that can accurately transmit

26 information without suffering a drastic reduction in survival and fitness due to
27 eavesdropping, ultimately affecting the evolution of male signals (Lewis & Cratsley, 2008;
28 Zuk & Kolluru, 1998). Studies examining the implications of signalling conspicuousness
29 within predator-risk environments are scarce and have rarely been conducted in the wild,
30 often producing inconsistent or inconclusive results, casting doubt upon evolutionary
31 explanations for signal evolution. Several hypotheses, with different predictions, have been
32 raised in the literature, but lack empirical substantiation.

33 Intuitively, we expect that under high predation risk, natural selection should favour
34 males that reduce the conspicuousness of their displays at the cost of decreasing their appeal
35 to females, resulting in lower mating success. Here, we refer to this decision of adaptively
36 reducing courtship to avoid predation as the survival focused hypothesis (predation avoidance
37 behaviours; Lima & Dill, 1990; Magnhagen, 1991). This potential trade-off between survival
38 and reproduction is often solved with males choosing survival and waiting for a future
39 breeding opportunity (Godin, 1995; Römer et al., 2010), especially if they have high residual
40 reproductive value (Williams, 1966). Alternatively, some males may tip the scale in favour of
41 reproduction, especially if risk-taking is a sexually selected feature (handicap principle
42 hypothesis; Zahavi, 1975). In this context, males should continue their display performance
43 (or even enhance it) under high predation risk. Under these conditions, we would expect that
44 only males of superior inherent quality (e.g., healthier, more elaborate ornaments) would be
45 able to escape predator attacks while signalling, and successfully attracting females and
46 mating. The reproductive outcome of such behaviour should compensate for the higher
47 predation risk costs.

48 However, the behavioural rules of thumb regarding choice between survival and
49 reproduction may not be as stereotyped as postulated by these more conventional hypotheses
50 (Lima & Dill, 1990; Zahavi, 1975). Males within the same population may respond

51 differently to predation risk, since individual attributes are variable and can influence risk-
52 taking behaviours based upon the individual's current and future reproductive prospects. The
53 asset protection hypothesis suggests that the larger the current reproductive value (i.e.,
54 expected future lifetime reproduction; Fisher, 1930), the stronger the need to protect it (Clark,
55 1994). In other words, animals with high potential for future breeding prospects should take
56 fewer risks relative to predators. The asset protection hypothesis is akin to the terminal
57 investment hypothesis, a longstanding concept suggesting that iteroparous animals should
58 increase reproductive effort when their residual reproductive value decreases, that is, when
59 their prospects of survival and reproduction decline due to age (Pianka & Parker, 1975;
60 Trivers, 1972; Williams, 1966). The asset protection and the terminal investment hypotheses
61 can be combined in the context of predation risk to predict that animals that vary in their
62 chances of immediate death from predation, and contingent upon their residual reproductive
63 value, should adjust the conspicuousness of their courtship behaviour.

64 We investigated the trade-off between survival and reproduction by assessing how
65 males of a Neotropical songbird, the blue-black grassquit (*Volatinia jacarina*), coped with
66 predation risk while attempting to attract females. The blue-black grassquit is uniquely suited
67 for testing this trade-off because of three specific reasons. First, males have a striking
68 iridescent nuptial plumage and conduct elaborate and highly conspicuous aerial displays
69 (detailed below). Second, reproductive opportunities for this migratory granivorous bird are
70 limited because they have a short breeding period limited to the last three months of the rainy
71 season in central Brazil (January to March; Sick, 1997). Finally, both adults and nests are
72 subjected to very high levels of predation typical of tropical latitudes. At the start of the
73 breeding period, males moult from a cryptic brownish plumage to an iridescent blue-black
74 nuptial plumage (Maia & Macedo, 2011). Males then start defending small, clustered
75 territories and perform their typical aerial displays (Manica et al., 2013, 2017), which consist

76 of a stereotyped sequence (electronic supplementary material, Videos S1 and S2). First, the
77 male leaps vertically from a perch and claps its wings at high speed behind its head several
78 times. During the leap, the male exposes white underwing plumage patches that sharply
79 contrast to the blue-black coloration. Second, the male emits a high-pitched strident
80 vocalization at the peak of the leap, which adds to the mechanical sound produced by the
81 wing beats, while rotating its body axis and pointing its beak to the ground. Finally, the male
82 returns to the initial perch and most likely leaps again a few seconds later. Blue-black
83 grassquit males may perform this display for hours, especially during sunny days (Sicsú et
84 al., 2013). Experiments with artificial nests showed that this highly conspicuous male display
85 can attract predators (Dias et al., 2010). After pairing, both sexes engage in a social
86 monogamy with biparental care (Almeida and Macedo, 2001). However, males continue
87 performing their sexual displays even with active nests in their territories, possibly to seek
88 extra-pair copulations, since genetic studies revealed extra-pair fertilization rates from 8 to
89 50% in the species (Carvalho et al., 2006; Manica et al., 2016).

90 We used predator simulation experiments to assess whether males modulated their mate
91 attraction performances under different predation risk regimes. Specifically, we tested the
92 three theoretical scenarios introduced above, each one predicting a different outcome. First,
93 the survival focused hypothesis (Lima & Dill, 1990; Magnhagen, 1991) predicts that males
94 should prioritize survival and reduce display rate and/or performance attributes (e.g. leap
95 duration) in situations of high predation risk and independent of male condition. The second
96 scenario, based on the handicap principle hypothesis (Zahavi, 1975), predicts an interaction
97 between male condition and predation risk by which males in better physical condition
98 should increase display rate and/or performance attributes under high predation risk, in
99 comparison to males in worse condition. Lastly, the asset protection hypothesis (Clark, 1994)
100 combined with the terminal investment hypothesis (Pianka & Parker, 1975; Trivers, 1972;

101 Williams, 1966) together predict a different pattern for the interaction between male
102 condition and predation risk. In this case, males with high residual reproductive value
103 (described above as males in better physical condition) should reduce display rate and/or
104 performance attributes under high predation risk, while under these conditions males with
105 low residual reproductive value should present the opposite pattern, that is, increase display
106 rate and/or performance attributes.

107

108 METHODS

109 *Study area and subjects*

110 This study took place within savanna vegetation patches in the University of Brasilia campus,
111 Brazil (15°44'S, 47°52'W), during two breeding seasons (November to March) in 2015/2016
112 and 2016/2017. We captured male blue-black grassquits with mist nets (2.5 m x 12 m, 10 mm
113 mesh) and banded them with unique combinations of four plastic colour bands. We took
114 different measures to assess their overall body condition and their degree of ornamentation,
115 and afterwards released them in the same locations where they were captured. We measured
116 their body mass to the nearest 0.2 g with a scale and the length of the left tarsus with callipers
117 (accuracy: ± 0.01 mm). With these data, we developed a male body condition index (body
118 mass divided by tarsus length; Magalhães et al., 2014; Santos et al., 2009). As a proxy of
119 condition, we also visually counted the number of ectoparasites (feather lice) on both wings
120 (data pooled).

121 We estimated an index of moulting by measuring the proportion of the male body
122 covered by nuptial plumage corrected by the time interval from the beginning of the breeding
123 season (Maia & Macedo, 2011; Manica et al., 2014), since these two variables are positively
124 correlated (see below). The index consisted of the residuals of a linear regression (nuptial
125 plumage coverage vs. scaled time since the beginning of the breeding season; $\beta = 0.43 \pm 0.06$,

126 $df = 309, p < 0.0001$), using male data from a larger dataset compiled by previous studies
127 (2013 to the 2017 breeding seasons; mean \pm SD: 78.75 ± 30.18 males/season, $N = 315$; based
128 on Diniz et al., 2015). Thus, males captured for the current study were assessed relative to
129 average nuptial plumage coverage of the population from previous breeding seasons. High
130 moulting index values indicate a high proportion of nuptial plumage coverage, whereas low
131 values, a lower nuptial plumage coverage.

132 We used binoculars to monitor the banded males that performed aerial displays in the
133 area and marked the trees and bushes that were used as display perches. Experiments started
134 after we identified display sites for at least 20 banded males. All animal procedures were in
135 agreement with the Universidade de Brasília ethical standards for animal welfare (UnBDoC
136 #92808/2014) and we captured animals with the endorsement of the Brazilian Ministry of the
137 Environment (permit #42365-3).

138

139 *Experimental design*

140 Playback trials used vocalizations of two bird species that occurred in the study area. For the
141 predation treatment, we used vocalizations of the aplomado falcon (*Falco femoralis*), which
142 preys on adult birds (Bó, 1999; Hector, 1985). For the control treatment, we used the
143 vocalizations of the sayaca tanager (*Tangara sayaca*), a sympatric species that does not
144 engage in aggressive interactions with grassquits. We used three different playback stimuli
145 for each treatment and we sorted out which one would be presented before each trial. All
146 vocalizations were taken from an online library of avian songs (Xeno-Canto Foundation©;
147 <https://www.xeno-canto.org/>). Playbacks were broadcast with portable sound-speakers
148 (Kayue KY-907), which were calibrated before each trial with a decibel meter (SEW® 2310
149 SL) positioned at a distance of 1 m from the speakers to a standard of 69 dB (based on the
150 amplitude of the grassquits' breeding song; de Moraes et al. *in prep*). We performed two

151 playback trials per day when the birds were more active (Almeida & Macedo, 2001): the first
152 trial started one hour after sunrise, and the second trial started two hours before sunset. We
153 presented a different experimental treatment to the subjects in each playback trial, and the
154 order of stimuli presentation and the period of the day that they were presented varied
155 randomly. There was a habituation period of one hour before each trial (i.e. habituation
156 started at sunrise or three hours before sunset), so birds could adjust to the presence of the
157 observer. If a male did not perform any sexual displays during the one-hour habituation
158 period, the trial was cancelled, and a new attempt was made in the next trial period.

159 Treatment exposure consisted of: 5 min period of playback presentation; 30 min period
160 of observations; 5 min period of the same playback presentation; 30 min period of new
161 observations. During the playback presentations, we held the sound-speaker 1.5 m above
162 ground facing the focal male display arenas (3-5 m distance). We video recorded male aerial
163 displays during the two observation periods with a single video camera (Casio HD digital
164 camera EX-FH25) set on a tripod for stability, at two frame rates: 30 and 240 frames per
165 second (FPS; regular-speed and high-speed videos, respectively). We used 30 and 240 FPSs
166 to allow the measure of both relative display rate and leap duration. We recorded at 240 FPS
167 to obtain the number of wing beats per leap (see below). We switched FPSs during the video
168 recording within each observation period to achieve a balance between the numbers of leaps
169 recorded for each FPS rate. We controlled for FPS and the order of the leap display in a
170 leaping bout in our models (see below). After the treatment ended, we classified weather
171 conditions in one of four categories: 0 = sunny, 1 = partly cloudy, 2 = mostly cloudy, 3 =
172 cloudy. Trials were not run under the rain. We used a measuring tape to estimate the height of
173 the perches used during the male displays.

174 We filmed 33 males during the execution of 840 leaps (details in appendices, table A1).
175 Grassquit males displayed in long bouts usually starting and ending at the same perch. We

176 estimated the number of leaps per bout for 91% of the leaps recorded. We used Windows®
177 Movie Maker v. 2012 (Microsoft Corporation 2012) to analyse all recorded leaps. For each
178 leap, we measured: (1) the duration of the leap (using both the regular-speed and high-speed
179 videos) (33 males, 830 leaps); and (2) the number of wing beats performed during the leap
180 (using only the high-speed videos) (29 males, 386 leaps). Previous studies indicate that the
181 height of the male leaps is positively correlated to leap duration and number of wing beats
182 (Manica et al., 2017), so we did not measure leap height. We then calculated (3) relative
183 display rate, computed as the number of leaps performed during a display bout divided by the
184 duration of the display bout.

185

186 *Data analysis*

187 We analysed data using R version 3.4.1 (R Core Team, 2017). First, we used a mixed model
188 (package lme4; Bates et al., 2015) to evaluate the relationship between duration of the leap
189 (response variable) and the number of wing beats per leap (predictor), controlling for
190 individual identity (random factor). These two variables were highly correlated (Pseudo-R-
191 Squared = 0.64, $p < 0.0001$, $N = 384$ leaps from 28 males), thus only leap duration was used
192 in the statistical analyses.

193 We used a Linear Mixed Model (LMM; Zuur et al., 2013) to test the effect of predation
194 risk treatment, body condition index, nuptial plumage coverage (moult index), and
195 ectoparasite count (main effects) on relative display rate and leap duration. Sample sizes to
196 run the models were the number of display bouts (for relative display rate) and the number of
197 leap displays (for leap duration), while controlling for individual identity (appendices, table
198 A1).

199 To test the handicap principle and the asset protection hypotheses, we added the
200 following interactions (and associated main effects): predation risk treatment * nuptial

201 plumage coverage, predation risk treatment * ectoparasite count, and predation risk treatment
202 * body condition index. The handicap principle and the asset protection hypotheses predict
203 divergent interactions between predation risk and these variables, which taken together, could
204 be interpreted as reflecting some aspect of male quality.

205 We also included possible confounding variables as predictor factors: date, breeding
206 season (2015/2016 or 2016/2017), weather category, day time (morning or afternoon), FPS
207 (30 or 240; only for leap duration), perch height, and sequence number (i.e. the order) of the
208 leap within the display bout (only for leap duration). Finally, we added the identity of males,
209 the identity of playback stimuli, and experimental trial as random factors in all models to
210 avoid pseudo-replication.

211 We performed backward stepwise model selection based on likelihood ratio tests (and
212 Wald tests for main effects of variables with significant interaction terms) to simplify the
213 models and test for predictor effects (Zuur et al., 2013). We used the “stepwise-reintroduction
214 for parameter estimation” (SRPE) method, which consists in adding removed terms one by
215 one for the final model and checked their fitting and effect sizes (Hegyi & Garamszegi,
216 2011). Although stepwise modelling has some drawbacks (Whittingham et al., 2006), the
217 SRPE method mitigates the main issue of extreme parameter estimation bias, and even
218 increases estimation accuracy compared with full models (Hegyi & Laczi, 2015). Results
219 were checked using an AIC model selection procedure (dredge function), and results
220 remained qualitatively unchanged. All continuous variables were scaled before the analyses
221 to obtain standardized (β) coefficients for predictors. In cases where we found an effect of an
222 interaction between a continuous and a categorical variable, we reran the best-fitting model
223 for each class of each categorical variable to help interpret the relationship between the
224 response variable and the continuous variable involved in these interactions.

225

226 RESULTS

227 Predation risk affected leap duration, but this effect varied with two male traits: ectoparasite
228 load and moulting index (i.e. nuptial plumage coverage corrected by date). First, we found a
229 significant interaction between wing ectoparasite count and predation risk explaining
230 variation in leap duration (predation risk treatment * wing ectoparasite count; likelihood-ratio
231 test: $\chi^2 = 7.30$, $df = 1$, $P < 0.01$; appendices, tables A2 and A3). Highly parasitized males
232 increased leap duration when subjected to the predation treatment (figure 1). In contrast, leap
233 duration of males with a low ectoparasite count did not vary with predation risk (figure 1).

234

235 [Place figure 1 here]

236 We also found that the interaction between moulting index and predation risk affected
237 leap duration (likelihood-ratio test: $\chi^2 = 15.91$, $df = 1$, $P < 0.0001$; appendices, tables A2 and
238 A3). Males with a low moulting index produced longer leaps in the predation treatment when
239 compared with the control treatment (figure 2). In contrast, males with a high moulting index
240 presented similar leap duration in both treatments (figure 2). In summary, lower quality
241 males, with high ectoparasite count and low moulting index, increased leap duration under
242 predation risk, whereas higher quality males did not modify leap duration in response to the
243 predation treatment.

244

245 [Place figure 2 here]

246 We found a significant negative effect of body condition index on leap duration
247 (likelihood-ratio test: $\chi^2 = 5.09$, $df = 1$, $P = 0.024$), which is independent of predation risk
248 (predation risk treatment * body condition index; likelihood-ratio test: $\chi^2 = 0.007$, $df = 1$, $P =$
249 0.93). In other words, males with high body condition index values exhibited shorter leaps
250 compared to males with a lower body condition index (figure 3). Finally, we found no direct

251 effect of predation risk treatment on relative display rate (likelihood-ratio test: $\chi^2 = 0.62$, $df =$
252 1, $P = 0.43$; appendices, table A4).

253

254 [Place figure 3 here]

255 DISCUSSION

256 We predicted three possible scenarios for how varying levels of predation risk would
257 influence the sexual displays of blue-black grassquit males. Our findings partially supported
258 the combined predictions of the asset protection and the terminal investment hypotheses
259 (Clark, 1994; Pianka & Parker, 1975; Trivers, 1972; Williams, 1966). We found that lower
260 quality males (i.e., those with high ectoparasite load and low moulting index) increased leap
261 duration when predation risk was high. These males in poorer condition could have reduced
262 chances of future breeding and therefore should be more willing to invest in risky behaviour
263 to gain mating opportunities at a given breeding season. According to the asset protection
264 hypothesis, higher quality males (i.e., those more highly ornamented and with a lower
265 parasite load), should protect their reproductive assets, and decrease display performance
266 under high predation risk because of greater chances of future breeding. However, our
267 findings did not support this second prediction since higher quality males did not differ in
268 display performance in high vs. low predation risk.

269 The traditional view of sexual signalling maintains that signals honestly reflect the
270 health conditions of the signaller (i.e., only individuals in good conditions can display the
271 signal; Andersson, 1994). However, our results challenge this view because low-quality
272 males were able to sustain a similar intensity of sexual signals compared with high-quality
273 males when exposed to high levels of predation risk. Our data agree with one of the key
274 predictions of the terminal investment hypothesis (Pianka & Parker, 1975; Trivers, 1972;
275 Williams, 1966). This hypothesis predicts that individuals with low residual reproductive

276 value (i.e., reduced expectations of future reproduction) should increase their current
277 reproductive effort when facing a high perceived risk of extrinsic mortality (Copeland &
278 Fedorka, 2012; Nielsen & Holman, 2012; Velando et al., 2006, 2014).

279 The terminal investment hypothesis (Pianka & Parker, 1975; Trivers, 1972; Williams,
280 1966) may also account for two other findings: grassquit males with higher ectoparasite load
281 and with less ornamentation performed longer leaps under high vs. low predation risk. First,
282 parasites consume resources that are otherwise used for host development and maintenance
283 (Sheldon & Verhulst, 1996), and also for investment in the expression of secondary sexual
284 traits (Gustafsson et al., 1994; Magalhães et al., 2014). Depending on the costs of parasitism,
285 infested males may not survive to the next breeding season (i.e., low residual reproductive
286 value; Brown et al., 1995; Richner & Tripet, 1999), which should trigger a “terminal
287 investment”. Second, in the traditional view of intersexual selection, males may advertise a
288 healthy and parasite-resistant profile by exhibiting fully developed sexual ornaments and
289 performing dynamic sexual displays (Andersson, 1994; Hamilton & Zuk, 1982). The
290 expression of these honest secondary sexual traits is typically associated with higher
291 reproductive success (Sheldon et al., 1997), ultimately influencing a male’s residual
292 reproductive value (Clark, 1994). However, less ornamented grassquit males (i.e. low
293 residual reproductive value) can nevertheless perform “dishonest” sexual displays that are as
294 intense as those performed by fully ornamented males in high predation risk contexts.

295 A relevant question is why blue-black grassquit males with fewer ectoparasites and
296 greater coverage of nuptial plumage were not affected by the predation treatment. Given that
297 parasites may enhance host susceptibility to predator attacks (Gehman & Byers, 2016;
298 Hudson et al., 1992), and that blue-black grassquit males with more ornaments have a lower
299 ectoparasite load (Magalhães et al., 2014), we presume that less parasitized and more
300 ornamented males would be less sensitive to variations in predation risk. Thus, although all

301 males in the study faced the same predatory stimulus, males probably were intrinsically
302 different relative to their perception of predation risk, with increased risk perception by low-
303 quality males (leading to terminal investment strategies) and attenuated risk-perception by
304 high-quality males (no response to predation treatment).

305 Presumably, males with a better body condition should perform sexual displays more
306 vigorously (Hunt et al., 2004; Morales et al., 2003). However, we found that males with
307 lower values for body condition actually performed more intense displays (i.e., longer leaps)
308 relative to those with better body condition. Similarly, Santos et al. (2009) found that
309 grassquit males with low body condition score were more likely to win aggressive
310 interactions over food compared to males with better body condition. One possible
311 explanation is that lighter males might be sexually selected since their aerial displays may be
312 easier to perform because of the greater agility and ability to jump. These lighter males may
313 signal better inherent quality to females (Barske et al., 2011). This is an interesting finding
314 given that the typical pattern is for sexual selection to favour males with larger and heavier
315 bodies as they usually prevail in intrasexual competition (Andersson, 1994).

316 In conclusion, our results suggest that predation risk affects blue-black grassquit sexual
317 displays according to the male's residual reproductive value. The fact that low-quality
318 grassquit males were able to exhibit sexual signals as intensely as high-quality males,
319 specifically in the context of high levels of predation risk, provides support for the idea of a
320 terminal investment, based upon the perception of imminent death (Pianka & Parker, 1975;
321 Trivers, 1972; Williams, 1966). Our results also suggest that the perception of predation risk
322 varies between males, so that those in poorer condition have a more acute sensitivity to
323 threatening situations.

324 Our study raises important questions associated with one of the major premises of
325 sexual selection theory, which is that all sexual signals are honest displays of signaller health

326 and parasite resistance. Our findings directly challenge this idea, since grassquit males in
327 poorer conditions (less ornamented, more parasitized) were on a par with healthy males in
328 terms of display intensity under high predation risk. Also, grassquit males with poorer body
329 conditions conducted displays more vigorously than males that were supposedly in better
330 conditions. Therefore, this tropical songbird deviates from classical evolutionary
331 assumptions, and highlights the importance of considering the influence of ecological and
332 life-history factors, such as predation risk and residual reproductive value, on signal
333 evolution. One question remains: in such an evolutionary context, how did the blue-black
334 grassquit sexual signal evolve? It is typically expected that high-quality males can
335 monopolize most reproductive opportunities, and therefore any honest badge of quality would
336 evolve through differential reproduction (Andersson, 1994). However, as we have shown,
337 low-quality grassquit males are able to produce “dishonest” sexual signals, which
338 hypothetically invalidates the premise of differential reproduction. A missing piece of the
339 puzzle in this system is how females choose their mates under predation risk, when low-
340 quality male behaviour reflects the terminal investment approach. Females that select a mate
341 based on dishonest signals may have fitness losses due to pairing with lower quality males.
342 Thus, we expect that female grassquits may have evolved capabilities to discriminate
343 between high and low-quality males in contexts of high predation risk. In this case, we will
344 be able to assume that blue-black grassquit sexual signalling evolved through intersexual
345 selection even when dishonest signalling is embedded in the system. The next step in the
346 study of grassquit signal evolution is to understand how females perform mate choice under
347 different levels of predation risk.

348

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356

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FIGURE LEGENDS

Figure 1. Interaction between predation risk (Control = no-risk control; Predator = predator simulation treatment) and wing ectoparasite count explains the variation in leap duration. We show predicted values (and 95% CI) by the linear mixed models (upper image) and the corresponding raw data (lower image, N = 578 leaps from 24 males; Control = 324 leaps, Predator = 254 leaps).

Figure 2. Interaction between predation risk (Control = no-risk control; Predator = predatory simulation treatment) and moulting index (nuptial plumage coverage corrected by date) explains the variation in leap duration. We show predicted values (and 95% CI) by the linear mixed models (upper image) and the corresponding raw data (lower image, N = 578 leaps from 24 males; Control = 324 leaps, Predator = 254 leaps).

Figure 3. Relation between body condition index (male body mass divided by tarsus length) and leap duration (N = 578 leaps from 24 males).

Figure 1.

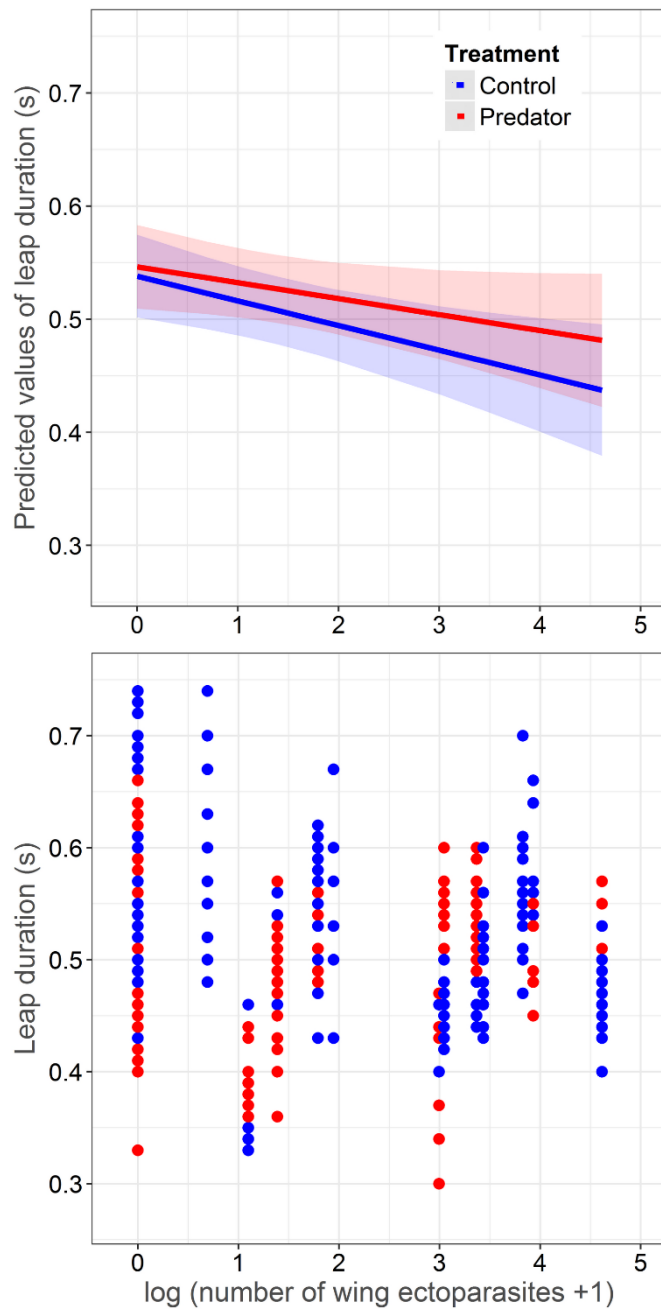


Figure 2.

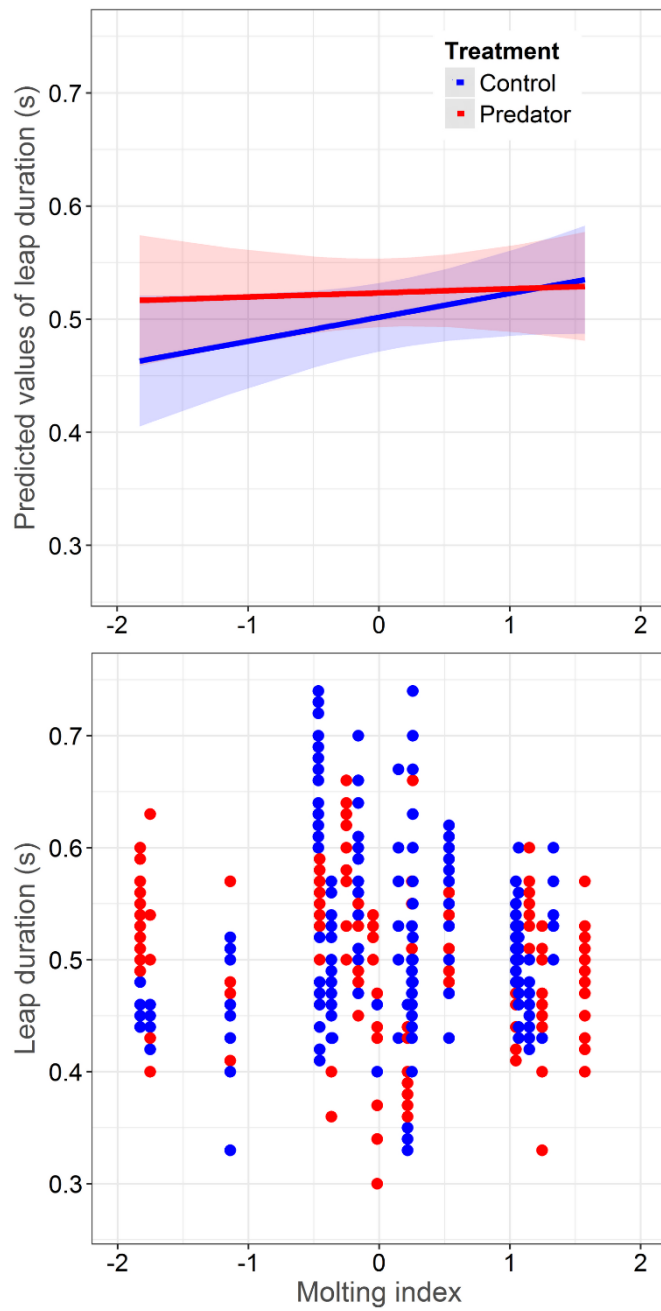
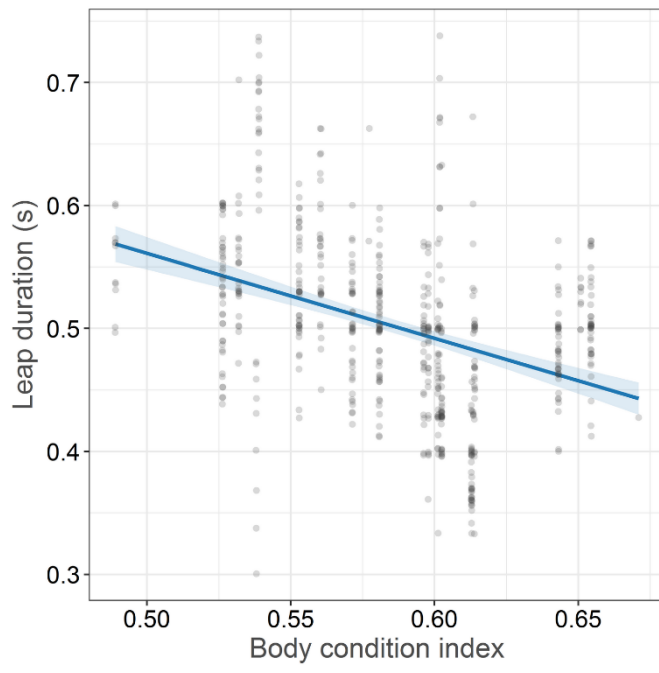


Figure 3.



APPENDICES

Table A1. Sample sizes and descriptive statistics for leap displays recorded for blue-black grassquit males.

Treatment	Number of males	Number of display bouts	Number of leap displays	Mean (\pm SD) number of leap displays/display bout (range)	Mean (\pm SD) number of leap displays/ male (range)
Control	29	54	462	7.89 \pm 3.14 (3-14)	15.93 \pm 7.55 (1-29)
Predator	27	42	379	8.31 \pm 3.02 (3-12)	14.54 \pm 7.20 (2-22)

Table A2. Backward stepwise model selection with SRPE method for explaining variation in leap duration. Variables highlighted in bold were kept in the final model. All models contain the identities of males, playback stimuli and experimental trial as random effects. Main effects for variables involved in meaningful interactions were assessed by Wald tests.

	Likelihood ratio test (df = 1)	<i>P</i>
Date	0.77	0.38
Order of the leap on the leap bout	0.0002	0.99
Playback treatment * body condition index	0.007	0.93
Breeding season	2.87	0.09
Playback treatment * wing ectoparasite count (log)	7.30	0.007
Playback treatment * nuptial plumage coverage index	15.91	< 0.0001
Playback treatment	12.10	0.0005
Wing ectoparasite count (log)	8.65	0.003
Nuptial plumage coverage index	1.61	0.20
Body condition index	5.09	0.024
FPS	9.38	0.002
Weather	11.00	0.0009
Perch height during leaping (log)	13.39	0.0003
Daytime	14.65	0.0001

Table A3. Beta coefficients for predictors to explain variation in leap duration. Variables highlighted in bold were kept in the final model.

	$\beta \pm se$
Playback treatment (Predator)	0.29 \pm 0.08
Nuptial plumage coverage index	0.28 \pm 0.14
Wing ectoparasite count (log)	-0.48 \pm 0.14
Body condition index	-0.31 \pm 0.13
Weather	0.23 \pm 0.05
Perch height during leaping (log)	-0.16 \pm 0.04
Daytime (1600 – 1710)	-0.31 \pm 0.08
FPS (240)	-0.17 \pm 0.05
Playback treatment (Predator) * Nuptial plumage coverage index	-0.24 \pm 0.06
Playback treatment (Predator) * Wing ectoparasite count (log)	0.17 \pm 0.06
Date	0.17 \pm 0.19
Order of the leap on the leap bout	0.00 \pm 0.03
Playback treatment * body condition index	0.007 \pm 0.08
Breeding season (2016/2017)	-0.72 \pm 0.39

Table A4. Backward stepwise model selection with SRPE method for explaining variation in leap rate. Variables highlighted in bold were kept in the final model. All models contain the identities of males, playback stimuli and experimental trial as random effects.

	Likelihood ratio test (<i>df</i> = 1)	<i>P</i>
Perch height during leaping (log)	0.49	0.48
Daytime	0.97	0.32
Playback treatment * body condition index	0.84	0.66
Date	0.09	0.76
Breeding season	0.61	0.43
Playback treatment * wing ectoparasite count (log)	0.40	0.82
Wing ectoparasite count (log)	0.21	0.64
Body condition index	0.82	0.36
Playback treatment * nuptial plumage coverage	0.93	0.63
Playback treatment	0.62	0.43
Nuptial plumage coverage index	0.13	0.72
Weather	2.77	0.10

CAPÍTULO 2

**Dangerous love? Predation risk does not affect female
partner choice in blue-black grassquits**

1 ABSTRACT

2 Mate choice, the intersexual component of sexual selection, is typically conducted by females.
3 Several intrinsic and extrinsic factors affect female mate choice, and predation risk may be a
4 major influence in this context. Some theoretical predictions suggest that females may choose
5 extravagantly ornamented males that are able to survive in high predation risk environments.
6 However, this decision could be different if choosing a conspicuous male under high predation
7 risk is costly for females or reduces offspring survival. In such contexts, females could become
8 indifferent to male quality or even prefer less conspicuous males. We tested these hypotheses
9 experimentally using blue-black grassquits (*Volatinia jacarina*) as the study object. Females
10 were placed in an experimental arena where speakers on opposite sides emitted male courtship
11 songs. One of the speakers emitted vocalizations at a high rate (proxy for a conspicuous male),
12 while the other speaker broadcast lower rate vocalizations (proxy for a less conspicuous male).
13 Simultaneously, while the female made a choice between the two types of male vocalizations, a
14 third speaker emitted vocalizations characterizing three risk-level treatments: adult predator, nest
15 predator and no-risk control. Females showed no preference for either male stimuli across the
16 three predation risk treatments. Given that male grassquit sexual displays signal status to other
17 males when engaging in competition for nesting territories, we propose that female blue-black
18 grassquits exercise indirect mate choice. In this scenario, females choose their mates based on
19 male ability to establish and defend a territory and rely only secondarily upon male phenotype
20 attributes.

21 Key Words: handicap principle; survival cost; multimodal display; indirect mate choice; passive
22 mate choice.

23 INTRODUCTION

24 The intersexual component of sexual selection commonly ascribes to females the role of
25 choosing among males the one that will sire their offspring (Darwin, 1871). Female mate choice
26 may depend on myriad intrinsic factors, such as genotype (Brooks & Endler, 2001), hormones
27 (Lynch et al., 2006), sensory capabilities (Ronald et al., 2012), physical conditions (Hunt et al.,
28 2005), as well as environmental factors including the social environment (Madden & Whiteside,
29 2013) and ecological variables (Conrad et al., 2017). Predation risk also influences female choice
30 in basically two distinct ways. First, in risky environments, females may prefer males that
31 present elaborate ornaments and conspicuous displays, since these males are able to do so even at
32 the risk of drawing predator attention to themselves. This is known as the handicap principle
33 (Zahavi, 1975), a concept that proposes that males who survive in a risky environment with
34 detrimental but striking ornaments probably has high quality genes. Thus, females that choose
35 conspicuous males to sire their offspring may increase survival probability by indirectly selecting
36 the antipredator genes. Some studies have found evidence supporting the handicap principle. For
37 instance, female green swordfish (*Xiphophorus helleri*) prefer males with elongated caudal fins
38 (i.e. swords; MacLaren, 2017), but this trait also increases male predation risk as predators direct
39 more attacks towards individuals with intact swords than those that had their sword
40 experimentally removed (Hernandez-Jimenez & Rios-Cardenas, 2012).

41 A different scenario predicts that females may suffer a high survival cost by associating
42 with conspicuous males (Marzal et al., 2016). Female guppies (*Poecilia reticulata*), for example,
43 have a strong preference for conspicuous ornaments displayed by males in risk-free
44 environments, but they decrease sexual activity and choose their mate randomly under high
45 predation risk (Godin & Briggs, 1996). In other fish species of the genus *Xiphophorus*, females

46 go even further and completely avoid conspicuous males in risky scenarios, preferring less
47 ornamented mates (Johnson & Basolo, 2003). Females from this species can also show no
48 preference between conspecific males in risky environments and heterospecific males in safer
49 conditions (Willis et al., 2012), possibly bearing the reproductive cost of producing hybrids to
50 increase chances of survival. These examples illustrate the fact that predation risk can not only
51 weaken intersexual selection relative to male conspicuous sexual signals but can also change the
52 direction of selection towards more cryptic traits.

53 Sexual selection through female choice may enhance conspicuousness of a signal
54 (Darwin, 1871), but natural selection may drive signal evolution in the opposite direction if
55 females associate with less conspicuous males under high predation risk (Godin & Briggs, 1996;
56 Johnson & Basolo, 2003). Given the natural diversity of forms and behaviors used by males for
57 mate attraction across different taxa, it is important to ask how females from different species
58 modify their mate choice under different levels of predation risk. To develop this concept, we
59 used a Neotropical bird, the blue-black grassquit (*Volatinia jacarina*), which exhibits a collection
60 of traits that make it an excellent subject to explore questions about predation risk and mate
61 choice. This socially monogamous passerine presents elevated rates of extrapair fertilization
62 associated with a complex repertoire of courtship behaviors and ornaments (Macedo et al., 2012;
63 Manica et al., 2016), and breeds in regions of high levels of predation risk (Ricklefs, 1969;
64 Skutch, 1985). Grassquit males molt from a brownish cryptic to a blue-black iridescent nuptial
65 plumage during the breeding season (Maia & Macedo, 2011), which color is highly contrasting
66 with the savannah vegetation of their breeding grounds. Additionally, males perform long bouts
67 of a multimodal flight display throughout the day, which they intensify especially when sunlight
68 shines directly upon them (Sicsú et al., 2013). Males maintain their sexual displays even after

69 establishing a socially monogamous breeding pair (Macedo et al., 2012), probably to attract
70 neighboring females to obtain extrapair copulations (Carvalho et al., 2006; Manica et al., 2016).
71 Males perform these conspicuous displays despite having an active nest in their territories, and
72 this has been shown to increase predation risk for nests (Dias et al., 2010). Because females
73 associate with males for most of the breeding season (Carvalho et al., 2007), it is possible that
74 the predator attention that males draw to both themselves and their nests also affects females.
75 This raises the question of whether grassquit females benefit by associating with vigorous and
76 conspicuous males (for example, by ensuring that their offspring will inherit “good genes”), or
77 whether this association may be costly in situations of high predation risk, wherein the female
78 and her offspring are at a greater risk due to intense male sexual displays.

79 To address these issues, we asked whether blue-black grassquit females modify their
80 partner choice preferences under different predation risk regimes using predator simulation
81 experiments. Specifically, we tested two hypotheses, each one predicting a different outcome
82 (table 1), using a simple mate choice paradigm experiment. The first hypothesis, based on the
83 handicap principle (Zahavi, 1975), is that females benefit from choosing conspicuous males as
84 their mates. Thus, males that perform intense sexual displays in situations of high predation risk
85 are probably of higher quality and should be chosen by females, despite the possible costs
86 associated with the risk of predation. Based on this hypothesis, we expected an interaction
87 between male display conspicuousness and predation risk affecting female choice. In our
88 experiment, this hypothesis would be supported if females favored conspicuous males in
89 situations of greater risk (simulation of a predator of adults) than in situations of no risk (control
90 treatment). The second hypothesis states that females increase predation risk to themselves
91 (Marzal et al., 2016) and to their offspring (Dias et al., 2010) by associating with a conspicuous

92 male. By including a predation risk cost in female partner choice, we expected a different
93 interaction between male display conspicuousness and predation risk affecting female response.
94 The second hypothesis would be supported if females favored conspicuous males in situations of
95 no risk (control treatment); in contrast, females should be nonresponsive to male display
96 conspicuousness when predation risk is high (simulation of adult and/or nest predators), or even
97 show a greater response to less conspicuous males.

98

99 [Place table 1 here]

100 METHODS

101 *Study subjects and field methods*

102 Blue-black grassquits are small, granivorous passerines that breed in central Brazil during
103 the local rainy season (November to April; Carvalho et al., 2007). After establishing their
104 territories, males perform conspicuous multimodal displays consisting of bouts of repeated leaps
105 that include high-speed wingbeats, with emission of a high-pitched song at the peak of the leaps
106 (Macedo et al., 2012). Males exhibit this sexual display for most of the day; natural variation in
107 display rate ranges from 5.0 to 20.8 displays/min (mean \pm SD; 14.2 ± 3.2 ; Manica et al., 2014).
108 After establishment of the breeding pair, both parents build an open cup nest using herbaceous
109 vegetation as substrate (10-50 cm off the ground) and care for broods of 2-3 nestlings (Almeida
110 & Macedo, 2001). Extrapair fertilization rates in this species are exceptionally high, ranging
111 from 11 to 47% of all broods (Manica et al., 2016). Nest predation is very high, with up to 80%
112 of nests being depredated in some breeding seasons (Diniz et al., 2015). An aggravating factor
113 related to nest predation is that males continue to perform sexual displays during the nesting
114 period within their typically extremely small territories (as small as 13.0 m² and average of 72

115 m²; Almeida & Macedo, 2001; Carvalho et al., 2006). These displays apparently are excellent
116 indicators of the location of male territories and nests, increasing nest predation risk (Dias et al.,
117 2010).

118 We used mist nets (2.5 m x 12 m, 10 mm mesh) to capture 26 female blue-black
119 grassquits in savanna habitat patches within the Universidade de Brasília campus (15°46'S,
120 47°52' W) during the breeding season of 2014/2015. The females were banded with a unique
121 combination of two plastic color bands and then housed in an outdoors aviary (2,56 m x 1,38 m x
122 2,06 m), and supplied with *ad libitum* water and a diet of specific seeds for granivorous birds
123 (Nutrópica®). We kept the females in the aviary for 10 days before using them in any
124 experimental trial to minimally standardize their reproductive status, since it was impossible to
125 know their reproductive condition prior to capture. Before each experimental trial, we measured
126 female body mass to the nearest 0.2 g with a scale, and the length of the left tarsus with calipers
127 (accuracy: ± 0.01 mm). We then created a body condition index (body mass divided by tarsus
128 length) and used it as a proxy of female condition, in terms of body fat deposition (Aguilar et al.,
129 2008; Costa & Macedo, 2005; Magalhães et al., 2014; Santos et al., 2009). We also visually
130 counted the number of feather lice on both wings (data pooled) and used it to estimate female
131 ectoparasite load. After the experiment, females were kept in the aviary to be used as
132 experimental subjects in other studies in our lab. All experimental procedures were in agreement
133 with the Universidade de Brasília ethical standards for animal welfare (UnBDoC #92808/2014)
134 and we captured animals with the endorsement of the Brazilian Ministry of the Environment
135 (permit #42365-3).

136

137 *Experimental stimuli and design*

138 The experiment took place in a second outdoors aviary containing the experimental arena
139 (100 cm x 35 cm x 65 cm). We placed one female at a time in the arena, which contained two
140 wooden perches at a height of 10 cm, each one at a 10 cm distance from the lateral sides of the
141 arena. Bird food and water *ad libitum* were placed in a central position in the arena. We left the
142 female in the arena for 12 hours for habituation in the new environment before starting the
143 experimental trial. We used one female per trial, and the experimental protocol (detailed below)
144 consisted of exposing females to songs of two contrasting types of males, while simulating three
145 different levels of predation risk by broadcasting predator vocalizations.

146 Two sound-speakers (Kaiyue KY-907, frequency response: 0.15 – 18 kHz) were placed
147 outside of the arena, each one adjacent to one of the perches and close to the sides of the arena.
148 These two speakers were used to broadcast male blue-black grassquit songs. A third similar
149 sound-speaker was positioned 2 m at the front of and aligned with the center of the arena. This
150 centrally-located speaker was used to broadcast the predator treatment types.

151 To create the contrasting grassquit songs, we recorded songs from 26 males in the field
152 with a Marantz PMD660 recorder (WAV, sampling rate of 48 kHz, 24 bits of resolution, mono),
153 and a unidirectional microphone (Sennheiser ME66). We edited the audio files from each male
154 with Audacity® to create two categories of male song rates: (1) high song rate (20 songs per
155 minute), simulating a more acoustically conspicuous male; and (2) low song rate (10 songs per
156 minute), simulating a more acoustically discrete male. These high and low song repetition values
157 are naturally observed in the wild (Manica et al., 2014). We filtered acoustic frequencies below 2
158 kHz to minimize noise interference in stimuli creation, and standardized stimuli amplitude. The
159 final one-hour audio files were comprised of one-minute bouts of male songs (at either high or
160 low song rates) intercalated by 30 s of silence.

161 The potential predator vocalizations emitted by the centrally located and farthest speaker
162 from the experimental arena were taken from an online library of avian songs (Xeno-Canto
163 Foundation©). We used these audios to create three treatments with different levels of
164 acoustically simulated predation risk: (1) an adult grassquit predator (apomado falcon, *Falco*
165 *femoralis*; Bó, 1999; Hector, 1985), which represents a great risk to females; (2) a grassquit nest
166 predator (guira cuckoo, *Guira guira*; Menezes & Marini, 2017), representing a greater risk to the
167 offspring, but still somewhat dangerous to the female; and (3) a no-risk control (sayaca tanager,
168 *Tangara sayaca*), that offers no predation risk to either female or offspring.

169 Experimental treatments lasted two hours and were conducted separately at fixed times
170 (0900 h and 1500 h), totaling six hours of observation per female (three treatments, two hours
171 each). During experimental trials we recorded female activity within the arena, while the
172 vocalizations of potential predators or nonpredator (control) were broadcast by the central
173 speaker, and male songs with different attributes (low versus high rates) were broadcast from the
174 lateral speakers. Both audios were created from the songs of the same male (high and low song
175 rate) and were presented simultaneously to the female to control for male identity and other song
176 attributes. We used the audios of the same male for all treatments within an experimental trial,
177 but there was no repetition of male stimuli between trials. We changed the positions of the lateral
178 speakers after one hour of each treatment, thus inverting the emission site of each category of
179 male song to avoid any possible biases in female preference for sides of the arena. The day-shift
180 (morning or afternoon) for execution of the experimental treatments, order of control and
181 predator stimuli presentation (adult predator, nest predator), and the initial position of male song
182 rate categories (left or right side of the arena) varied randomly across trials.

183 Before each experimental treatment, we used a decibel meter (SEW® 2310 SL) to
184 calibrate the sound-speakers to a standard amplitude of 69 dB. We calculated this value using the
185 same equipment to measure the singing amplitude of grassquits in the wild and also in captivity.
186 After sampling the grassquits' singing amplitudes, we used a measuring tape to calculate the
187 distance between the sampled grassquits and the decibel meter. We applied these two values in
188 the formula $L1 = Lr + 20 \times \log_{10}(r)$, which calculates the amplitude that should be sampled by a
189 decibel meter when it is one meter away from the sound-speaker (van den Heuvel et al. 2012). In
190 this formula, Lr represents the singing amplitude measured at distance r , resulting in the singing
191 amplitude measured at one meter from the emitter $L1$ (regarding the grassquits, $L1 = 69$ dB).

192 We used a video camera (Kodak Zx1) to record experimental trials for all 26 females
193 used as experimental subjects (156 h of observation). During the video analyses, we ignored the
194 first 10 min of each experimental treatment and the first 10 min after the repositioning of the
195 lateral speakers to avoid any effect of researcher presence on female behavior. We used a virtual
196 line dividing the arena into two halves and then identified which side corresponded to which
197 male song rate category. We used scan sampling (Altmann, 1974) to score the position of the
198 female in the arena at 2-min intervals. We created two mate preference scores: "mate preference
199 score 1" was the total number of scans where the female was observed in each side of the arena;
200 "mate preference score 2" consisted of the difference in the number of scans between 'high male
201 song rate' side and 'low male song rate' side. A high value in the mate preference score 2 means
202 that the female was more frequently observed closer to the high male song rate stimulus, while a
203 low value means that the female spent more time in close proximity to the low male song rate
204 stimulus.

205

206 *Data analysis*

207 We analyzed data with R version 3.4.1 (R Development Core Team, 2017). We used Generalized
208 Linear Mixed Models (GLMM, package lme4) to analyze variation in the mate preference
209 scores. To avoid model overfitting, we conducted the analyses in two steps. First, we
210 investigated whether grassquit females expressed a preference for the playback of male song
211 bouts that varied in song rate (high male song rate vs low male song rate, hereafter) and under
212 predation risk, using GLMM with a Negative Binomial error distribution (to deal with
213 overdispersion). We used the number of scans wherein a female was observed in each of the two
214 sides of the arena (i.e. “mate preference score 1”) as a response variable. We included the main
215 effects of, and the interactions between, male song type (i.e. that corresponded to each side of the
216 arena) and predation risk treatment. Finally, we included female identity as a random effect.

217 Since female condition can affect mate choice behavior (Hunt et al., 2005) we performed a
218 second analysis to control for confounding effects on mate choice unrelated to predation risk.
219 This time, we used a global model to test whether variation in female preference for the variable
220 male song rate varied with female body condition index (scaled) and ectoparasite load (scaled).
221 For this analysis, the “mate preference score 2” was used as response variable. Accordingly, we
222 used a GLMM with a Gaussian error distribution. We included the main effects of predation risk
223 treatment, female body condition and female ectoparasite count. We also added the interactions
224 predation risk treatment * female body condition, and predation risk treatment * female
225 ectoparasite count, as fixed effects in this global model. Finally, we included female identity as a
226 random factor in this model.

227 We conducted likelihood ratio tests in a backward stepwise approach for model selection.
228 For each variable, we present the significance of these tests for the last model that the variable
229 occurred.

230

231 RESULTS

232 Grassquit females did not show any preference for the playbacks of high song rate bouts over
233 low song rate bouts (table 2). This pattern of no preference was not influenced by simulated
234 predation risk offered by adult or nest predators (figure 1), female body condition or female
235 ectoparasite load (table 2). In other words, subject females spent equal amounts of time in the
236 high song rate and low song rate sides of the arena, irrespective of predation risk regimes. The
237 scans for females in the three predator risk regimes exhibited very similar scores for the
238 alternative male song rate categories (mean \pm SD): (1) control high song rate side = 32.58 ± 7.44
239 vs. low song rate side = 30.23 ± 7.41 ; (2) adult predator high song rate side = 29.96 ± 7.70 vs.
240 low song rate side = 32.85 ± 7.31 ; and (3) nest predator high song rate side = 31.88 ± 6.46 vs.
241 low song rate side = 31.04 ± 6.47 . These results are in sharp contrast to the predicted outcome
242 that females should modify their mate choice preferences under different predation risk levels.

243

244 [Place table 2 and figure 1 here]

245 DISCUSSION

246 Our first prediction, based on the handicap principle (Zahavi, 1975), was that females would
247 choose more conspicuous male stimuli across every predation risk treatment. Specifically, we
248 expected that female preference would interact with predation risk and be more intense under
249 higher predation risk (i.e. adult predator treatment) than in the no-risk situation (i.e. control

250 treatment). Our second prediction was that females would select more conspicuous male stimuli
251 only in situations of low risk, and under high predation risk they would choose male stimuli at
252 random or even prefer more muted stimuli. This latter outcome was based on the assumption that
253 association with vigorously displaying males might impose a great survival cost on females and
254 their potential offspring (Dias et al., 2010; Marzal et al., 2016). Unexpectedly, our results did not
255 support either of the two hypotheses, given that females were nonresponsive to male song
256 stimuli, whether low or high rate, across all experimental treatments.

257 Despite the surprising nonresponsive pattern for grassquit female mate choice, our data
258 confirm previous findings for this species to a certain degree, suggesting alternative explanations
259 that advance our understanding of how sexual selection operates. Initial studies of this species
260 indicated that, regardless of male attributes, grassquit females do not appear to choose their
261 mates based on male territory quality and resource availability (Almeida & Macedo, 2001). A
262 previous mate choice experiment using live grassquits exposed females to two male groups:
263 healthy males treated with coccidiostatic drugs and parasite-infested males (Aguilar et al., 2007).
264 The study showed that the coccidian infection significantly affected several sexual display
265 attributes, and that healthy males performed more intense and vigorous displays than parasitized
266 males. However, grassquit females were nonresponsive to healthy or parasitized male displays,
267 although male performance honestly reflected male health. A subsequent experiment
268 investigated how socially paired females reacted to potential extrapair mates (Dias et al., 2014).
269 Paired female subjects were exposed to extrapair males to assess how they would react to novel
270 versus familiar male attributes. Expectations were that females would be more attentive to novel
271 males with more vigorous sexual attributes when compared with familiar males, while ignoring

272 males in worse condition than their mates. However, females were interested in novel males even
273 when familiar male attributes were of higher quality.

274 Taken together these previous studies of grassquit female mate choice and our results, we
275 propose that female grassquits exhibit passive or indirect mate choice, that is, dependent on male
276 competition (Wiley & Poston, 1996). During the breeding season, males compete to defend small
277 territories with available substrate for nest building (Almeida & Macedo, 2001). Females then
278 pair with bachelor territorial males, apparently ignoring most male attributes (Dias et al., 2014).
279 They also appear to choose their mates disregarding male health (Aguilar et al., 2007), territory
280 quality (Almeida & Macedo 2001; Carvalho et al., 2006) and predation risk (this study). Past
281 studies have indicated that successfully paired males in the wild exhibit some particularities,
282 such as spending more time in their territories, conducting displays for longer periods and at
283 greater rates, and leaping higher (Carvalho et al., 2006; Manica et al., 2016). Possibly, female
284 grassquits are attracted to males that are simply able to establish and defend a territory with
285 appropriate substrate vegetation. In such a context, intrasexual competition may be the key
286 factor, where male attributes such as persistence in displaying and conducting higher leaps may
287 determine male status. Experimental evidence supports the proposal that male intrasexual
288 competition may be strongly associated with male ornamentation. Males living in all-male
289 environments, in contrast with males in mixed groups or in pairs, are more aggressive and
290 present a higher plasmatic concentration of testosterone (Lacava et al., 2011). Such males also
291 develop nuptial plumage faster, earlier and with more ultraviolet reflectance (Maia et al., 2012),
292 which may signal male quality (Keyser & Hill, 1999). After pair formation, females could mate
293 with neighboring males not necessarily for their inherent quality (Dias et al., 2014), but to
294 increase offspring genetic diversity (Yasui, 2001) or to obtain access to resources (e.g.

295 Tryjanowski & Hromada, 2005). Therefore, mating decision in blue-black grassquits may not
296 result primarily from female choice for male attributes, but on male status and intrasexual
297 competition (see Arak, 1988; Okamura & Goshima, 2010; Rebout et al., 2017).

298 An alternative reason for the lack of female grassquit preference in the context of
299 elevated predator risk may be related to our use of only one male display attribute (i.e. song rate)
300 as the experimental stimulus. Blue-black grassquit males perform multimodal displays that
301 involve not only acoustic components, but also visual cues: males leap at heights of 25 ± 9 cm
302 (Costa & Macedo, 2005) while clapping their wings behind their heads and presenting white
303 underwing plumage patches (Maia & Macedo, 2011). At the peak of the leap, males emit a high-
304 pitched vocalization and return to the perched position to likely start a new display a few seconds
305 later (Manica et al., 2017). Previous studies showed that the height of the leap is important for
306 male pairing success (Carvalho et al., 2006; Manica et al., 2016). Given that avian predators can
307 capture males during their leap display (Macedo pers. observ.) and grassquit males often emit
308 sexual display songs without performing the leap display (Manica et al., 2017), singing without
309 leaping may be a low-risk male strategy for mate attraction. However, the singing without
310 leaping strategy may have low appeal to females, which may explain the lack of female
311 responsiveness to male song in our experiments.

312 A final possible explanation for female indifference towards predatory stimuli is that they
313 do not perceive the experimental treatments as a threat. While grassquit males present flashy
314 colors and perform conspicuous displays that attract predatory attention (Dias et al., 2010),
315 grassquit females have a brownish plumage that blends with the savannah background and that
316 may be hard for predators to detect. Therefore, grassquit females possibly do not experience the
317 same predation risk levels as males, especially considering predation by avian predators. This

318 interpretation probably changes when nest predation is taken into account, since incubation and
319 brooding are performed exclusively by females in this species (Carvalho et al., 2007). Female
320 plumage coloration in dichromatic bird species may be an adaptation to open-nest camouflage
321 (Soler & Moreno, 2012), and they do not present striking colors similar to males as that would
322 increase nest predation risk (Ekanayake et al., 2015). Therefore, we expect that grassquit females
323 may be more responsive to nest than to adult predators, a proposition that is worth testing in field
324 conditions where parental activity is taking place.

325 In conclusion, we found that blue-black grassquit females do not respond to nest and
326 adult predation risk under specific experimental conditions of mate choice. We discussed several
327 alternative explanations, although we cannot substantiate any of them without further studies. To
328 avoid any confusion over the relevance of male stimuli to female choice, we suggest that further
329 mate choice studies focusing on multimodal displaying species should use the complete display
330 as choice stimuli, instead of using segregated display components that may present incomplete
331 information for females. Therefore, video playbacks with sufficient visual resolution are
332 recommended in addition to vocal playbacks.

333

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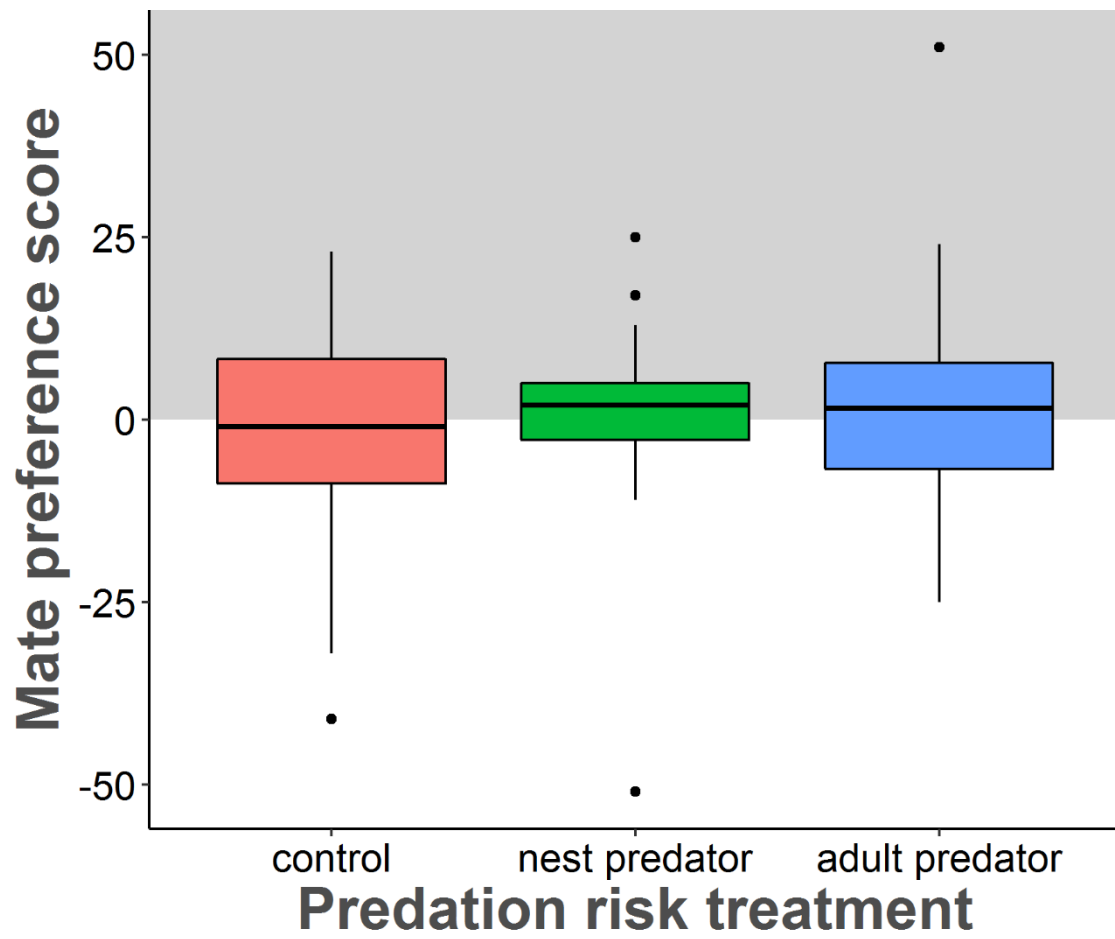
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FIGURE LEGENDS

Figure 1. Boxplot showing variation in female mate preference scores for the playback of variable male song rates (high vs low) under different scenarios of predation risk (N = 26 females, three treatments per female). Grey area corresponds to preference for high male song rate, whereas white area corresponds to preference for low male song rate. Scenarios of manipulated predation risk by playback: control = sayaca tanager, *Tangara sayaca*; nest predator = guira cuckoo, *Guira guira*; adult predator = aplomado falcon, *Falco femoralis*.

Figure 1.



TABLES

Table 1: Summary of hypotheses tested in this study and their predicted female mate preference outcomes. Blue-black grassquit females were used as experimental subjects. Symbols indicate that females favor males strongly (++), moderately (+) or not at all (-), as assessed by time spent in close proximity with the specific male stimulus (high or low song rate).

Hypotheses	Description	Experimental Treatment	Male conspicuousness	
			High	Low
Handicap principle¹	Associating with conspicuous males: greater reproductive benefits to females	Predation risk	++	-
		Control	+	-
Female/offspring predation risk²	Associating with conspicuous males: greater survival costs to females and offspring	Predation risk	-	- or +
		Control	+	-

¹ based on Zahavi (1975); ² based on Dias et al. (2010) and Marzal et al. (2016).

Table 2: Model selection through backward stepwise procedure to assess variation in mate preference scores by blue-black grassquit females for variable male song rates. Female identity is included in all models. Mate preference score 1 is the number of scans on each side of the cage. Mate preference score 2 is the difference in the number of scans recorded for the female between cage sides ('high male song rate' minus 'low male song rate'). Variables considered include: condition (female body condition index = mass/tarsus), ectoparasite (ectoparasite count for female), predation (predation risk treatment = control, adult predator, nest predator); and song (high and low male song rate).

Global model	Family	Response variable	Variable	Model selection step	Likelihood ratio test		
					χ^2	df	P
1	Negative binomial	Mate preference score 1	song * predation	1	3.69	2	0.16
			predation	2	0.002	2	1.00
			song	3	0.008	1	0.93
2	Gaussian	Mate preference score 2	predation * ectoparasite	1	0.66	2	0.72
			ectoparasite	2	0.79	1	0.37
			predation * condition	3	2.41	2	0.30
			predation	4	2.37	2	0.31
			condition	5	2.47	1	0.12

CAPÍTULO 3

Predation risk affects biparental care in a sexually dichromatic Neotropical songbird

1 ABSTRACT

2 Predation risk has been shown to modify a broad range of behaviors in birds, mainly involving
3 parental care. However, most of the studies to date focus on temperate species as animal models,
4 which suffer a small risk of predation in comparison to tropical species. Here, we investigated
5 how predation risk affects the parental behavior of a Neotropical passerine, the blue-black
6 grassquit (*Volatinia jacarina*). We also observed whether grassquits present different responses
7 to predation risk in relation to sex, since this is a sexually dichromatic species and detection by
8 predators may impose different costs on parental care performed by each sex. Playbacks of
9 known predators and non-predatorial control sympatric species were used near nesting pairs in
10 the field. Results show that grassquits modify their behavior according to predation risk, and this
11 behavioral response is linked to parental sex. Males decrease their nest visit times, become more
12 discreet in their movements approaching the nest, and stop performing sexual displays after
13 leaving the nest. Alternatively, females decrease latency to visit the nest and increase duration of
14 brooding bouts. These different sex responses achieve the same goal: they reduce nest visual and
15 acoustic detectability, since conspicuous males are readily spotted on nests and cryptic females
16 camouflage nestlings and suppress begging calls. Although these behavioral changes reduce
17 predation risk, there is a cost of reduced food load to nestlings and increased brood starvation
18 risk. These changes in nesting activities illustrate the behavioral adaptability of grassquits to
19 ensure offspring survival in tropical high predation risk environments.

20 Keywords: natural selection; nest predation; parental behavior; blue-black grassquit; antipredator
21 behavior; sex roles.

22

23

24 INTRODUCTION

25 The central paradigm of parental care focuses on the trade-off between current and future
26 reproduction, that is, parental behavior that increases current offspring fitness may decrease
27 parental capacity to invest in future reproduction (Clutton-Brock, 1991; Trivers, 1972). Parental
28 investment may occur even before fertilization, with females allocating resources to eggs
29 (Coslovsky & Richner, 2011), and may last long after offspring sexual maturation, when
30 different overlapping generations stay in close proximity in species with long lifespans (Hawkes
31 et al., 1997). The level of care allocated to a specific offspring may vary with environmental
32 factors, such as food availability (Dewey & Kennedy, 2001) and weather (Öberg et al., 2014).
33 Because of energetic and time constraints, parents frequently face trade-offs between continuing
34 to care for an offspring that has already received considerable investment but currently presents a
35 low survival probability or abandoning it to save resources to produce a new offspring when
36 environmental conditions are favorable again (Clutton-Brock, 1991).

37 Predation risk is an environmental factor that weighs heavily on parental decision to care
38 for or abandon an offspring and is also one of the main causes of nest failure in birds (Martin,
39 1995). Since predators can use parental behavior as a cue to locate nests, parents have to
40 modulate their parental care strategies to ensure offspring survival (Martin et al., 2000b). Birds
41 are known for their flexible parental care in situations of high predation risk, and under such
42 conditions parents have been found to change brood size (Martin et al., 2000a), food
43 provisioning rate (Ghalambor & Martin, 2000), and incubation bout duration (Massaro et al.,
44 2008). This behavioral plasticity depends not only on the fleeting presence of a predator around
45 the nest, but is also related to the historical predation risk level that a species has faced in its
46 environment (Ghalambor et al., 2013). Results of a comparative study between subtropical and

47 temperate species, which suffer different historical levels of predation (Ricklefs, 1969; Skutch,
48 1985), indicated that all studied species had a significant variation in parental care due to
49 increased perception of predation risk (Ghalambor et al., 2013). However, subtropical species
50 living in environments with greater historical predation rates presented more intense responses to
51 predatory cues, reducing nest predation risk by decreasing offspring provisioning.

52 An evolutionary response to nest predation risk is also expected in sexually dichromatic
53 species, likely resulting in a gender-bias in parental care since conspicuous individuals (usually
54 males) are readily detected by visually oriented predators (Endler, 1992). Some studies with
55 dichromatic birds confirmed this expected gender difference in biparental care under predation
56 risk (Colombelli-Négrel & Kleindorfer, 2010; Ekanayake et al., 2015; Křištofková et al., 2011).
57 For instance, male red-capped plovers (*Charadrius ruficapillus*), an open-nesting shorebird,
58 present redder and brighter head coloration than females. In an experimental manipulation, male
59 dummies were attacked by predators significantly more than female dummies during the day, but
60 not at night (Ekanayake et al., 2015). Probably due to this gender difference in predation risk,
61 red-capped plover females incubate during the day while males perform the same task at night
62 when visually oriented predators are inactive (Ekanayake et al., 2015).

63 In this study, we examine plasticity in biparental care presented by a Neotropical species,
64 the blue-black grassquit (*Volatinia jacarina*), which has very high nest predation rates, typical of
65 tropical environments (Ricklefs, 1969; Skutch, 1985). The blue-black grassquit is a small
66 passerine that migrates to savannahs of central Brazil to breed during the local rainy season
67 (November to April; Almeida & Macedo, 2001; Sick, 1997), with nearly 80% of nests being
68 depredated in some seasons (Diniz et al., 2015; Macedo et al., 2012). Nest predators are mostly
69 birds, like the Guira cuckoo (*Guira guira*; Menezes & Marini, 2017). Blue-black grassquits are

70 sexually dichromatic, with males molting into a blue-black iridescent nuptial plumage prior to
71 the breeding season while females have a brownish cryptic coloration (Maia & Macedo, 2010).
72 During the breeding season, males and females form socially monogamous breeding pairs and
73 care for the offspring together (Almeida & Macedo, 2001). The species has very high rates of
74 extrapair fertilization (Carvalho et al., 2006; Manica et al., 2016), which is likely the cause of
75 males continuing to perform sexual displays even with active nests in their territories. Grassquit
76 male sexual displays consist of a vertical leap from a perch, followed by several high-speed wing
77 beats behind the head and a stereotypical high-pitched song at the peak of the leap (Manica et al.,
78 2016). Although performing this conspicuous display may increase male fitness through extra-
79 pair fertilization, it draws predators to the displaying male territory and, therefore, puts offspring
80 at risk (Dias et al., 2010).

81 The blue-black grassquit system provides a unique opportunity to examine the influence
82 of predation upon biparental care patterns, given the conflicts between social monogamy with bi-
83 parental care and extrapair copulation, all evolved within a historical context of high nest
84 predation rates. In this study, we experimentally tested whether sex-related behaviors are
85 influenced by nest predation risk by avian predators. We predicted that parenting activities at the
86 nest would change according to sex and predation risk, where males would decrease the number
87 of nest visits and the time spent at the nest under high predation risk, while females would
88 present the opposite pattern. This difference was expected because of the species' sexual
89 dichromatism and predator detection capacity: nest predation risk may increase with the presence
90 of conspicuous males and may decrease with cryptic females. In a context of increased predation
91 risk, possibly resulting in reduced nest visits by males and increased brooding bouts by females,
92 we expected a decrease in the time invested in feeding the nestlings. Therefore, we also predicted

93 that parents would increase the amount of food provisioned to nestlings per feeding visit to avoid
94 nestling starvation risk. Finally, we examined whether predation risk affected other adult
95 behaviors, such as the way parents enter the nest and the execution of sexual displays nearby.

96

97 METHODS

98 *Study subjects*

99 We conducted fieldwork during the blue-black grassquit breeding season of 2013/2014
100 (December to March) in a savannah area located within the Universidade de Brasília campus
101 (15°44'S, 47°52'W). We used mist nets (2.5 m x 12 m, 10 mm mesh) to capture adults of both
102 sexes and banded them with unique combinations of three plastic colored bands and one
103 aluminum band (CEMAVE). We searched for active nests that, once found, were monitored
104 every two days to estimate hatching date. Blue-black grassquit nests are small open cups
105 (external diameter of 6.9 ± 0.7 cm, internal depth of 4.7 ± 0.9 cm) made of grass and roots
106 (Carvalho et al., 2007), attached to grasses and shrubs 10 to 50 cm off the ground (Almeida &
107 Macedo, 2001; Carvalho et al., 2007). Clutch size varies from two to three eggs that hatch after
108 10 days of incubation, and nestlings take 10 additional days to fledge (Carvalho et al., 2007). We
109 performed the predation risk experiment (described below) on the fifth day (± 1 day) after
110 hatching to control for possible ontogenetic variation in parental behavior. To avoid pseudo
111 replication in our experiment, we used only nests in which at least one of the social parents was
112 banded. All animal procedures were in accordance with the Universidade de Brasília ethical
113 standards for animal welfare (UnBDoC #92808/2014) and were approved by the Brazilian
114 Ministry of the Environment (permit #42365-3).

115

116 *Experimental design*

117 The purpose of our experiment was to test whether predation risk affected blue-black
118 grassquit parental behaviors both at the nest and in its immediate surroundings. The experiment
119 consisted of exposing parents of active nests to two experimental treatments at the 5th ± 1 day
120 after the eggs eclosed (to control possible ontogenetic demands present by nestling at different
121 ages). We used vocalizations of two bird species as treatments: the guira cuckoo (*Guira guira*), a
122 local bird that depredates nests (Menezes & Marini, 2017); and the sayaca tanager (*Tangara*
123 *sayaca*) as a control that offers no risk to the nest. All vocalizations were taken from an online
124 library of avian songs (Xeno-Canto Foundation©; <https://www.xeno-canto.org/>). An
125 experimental trial consisted of the presentation of both treatments at a single nest. We presented
126 treatments separately at fixed times (0700 h and 1600 h), when grassquits were most active (pers.
127 obsv.). Each treatment lasted two hours (totaling four hours per nest) and vocalizations were
128 broadcast during the whole treatment by a sound-speaker (Kayue KY-907) positioned two meters
129 from the nest. The order and time of treatment presentation varied randomly, and we exposed
130 each nest to each treatment (predator and control) only once.

131 We used a video camera (Kodak Zx1) fixed to a tripod at 50 cm from the nest to record
132 nest activity in all experimental trials. Pilot studies showed that parents usually avoided entering
133 the nest with the camera positioned at this distance, so we conducted a habituation period of 24 h
134 before each experimental trial. During this habituation, we placed a dummy camera made of
135 Styrofoam and dark fabric, which looked similar to the real camera, 50 cm from the nest. One
136 hour before each treatment started (0600 h and 1500 h), we replaced the dummy camera with the
137 real camera, and then waited for one hour before starting video recording. Habituation proved to
138 be a successful measure since parents carried out nesting activities for the duration of the trials.

139 We used 20 nests, each exposed to two experimental treatments (2 hours/treatment),
140 totaling 80 hours of nest observations. During video analysis, we quantified seven nest-related
141 behavioral categories as response variables to our experimental treatments: (1) latency to visit
142 the nest for the first time after the playback treatment started; (2) number of visits and total time
143 spent at the nest by both parents; (3) food load transfers to nestlings (i.e. number of beak-to-beak
144 interactions between parents and nestling) and food delivery rate (i.e. number of beak-to-beak
145 interactions / number of nest visits) by both parents; (4) number and duration of brooding bouts
146 performed by females; (5) nest-approaching strategy, which was the mean difference between
147 the number of arrivals under and above the nest (grassquits would land directly over the nest, or
148 land farther away. approach through the vegetation, and climb up to the nest from below); (6)
149 nest-departing strategy, which was the mean difference between the number of nest departures
150 under and above the nest (grassquits would either fly straight up from the nest or leave through
151 the vegetation below the nest); (7) sexual displays performed by males while departing from the
152 nest. Relative to these behaviors, it is important to clarify that we used beak-to-beak interactions
153 to quantify food transfers because parents give a large number of seeds in single loads to
154 nestlings, making it impossible to visually count the seeds using the videos. Likewise, males
155 typically perform sexual displays during long bouts in visible perches across their territories
156 (Manica et al., 2013). However, early in the study we found that they also perform the display
157 while leaving the nest (using the nest as a display perch) and wondered if nest predation risk
158 affects this conspicuous behavior.

159

160 *Statistical analyses*

161 We tested whether predation risk affected blue-black grassquit adult behaviors and
162 parental care in the nestling stage using linear mixed models and generalized linear mixed
163 models in R (Zuur et al., 2009, 2013) (package lme4; Bates et al., 2015). We added predation
164 treatment and sex as fixed effects in all models. We added sex and its interaction term with
165 predation treatment to all models, except the models built to analyze variation in time spent
166 brooding (only females), time spent in the nest (only males), and displays while leaving the nest
167 (only males). The time spent brooding was highly correlated with the time spent in the nest for
168 females ($r_s = 0.81$), and so we only used the former.

169 Daytime (morning or afternoon) affects nest-feeding rates in blue-black grassquits (Diniz
170 et al., 2015), so we added this variable as a covariable (fixed effect) in all models. Finally, we
171 controlled between-individual and between-pair variation in parental behaviors by adding
172 individual identity in all the models that included one of the parents, and individual identity
173 nested within pair identity in all the models that included both parents.

174 We evaluated the significance of predictors in the full models using Type II Wald chi-
175 square tests (package car; Fox & Weisber, 2011). We carried out multiple comparisons using
176 multcomp package (Hothorn et al., 2008) to obtain estimates and respective standard errors for
177 paired-differences between levels of significant interaction terms (i.e. Predation Treatment *
178 Sex). To analyze variation in the nest approaching strategy, nest departure strategy and leap
179 display during nest departure (only males), we excluded cases where the focal parent did not visit
180 the nest.

181

182 RESULTS

183 Predation risk did not affect the number of visits for both parents (figure 1a). However, it
184 affected provisioning behavior (table 1). Both parents reduced food load (total number of beak-
185 to-beak interactions across nesting visits) to nestlings during the predation treatment in
186 comparison with the control treatment (figure 1b). This was even more pronounced when the
187 model was run without the non-significant interaction between sex and treatment (Wald χ^2 test;
188 Treatment: $\chi^2 = 7.67$, $p = 0.006$; Estimate [95% CI]: -0.34 [-0.58, -0.10]).

189
190 [Place figure 1 here]

191 Predation risk also affected nest attendance by both parents, but in opposite directions
192 (table 1). Males spent approximately 30% less time in the nest when under elevated predation
193 risk in comparison with the control treatment (figure 1c). Females, on the other hand, spent on
194 average about 50% more time brooding when under elevated predation risk in comparison with
195 the control treatment (figure 1d). This latter effect was even stronger if we removed an outlier
196 female that behaved very differently from other females (Wald χ^2 test; Treatment: $\chi^2 = 15.72$, p
197 < 0.0001 ; Estimate [95% CI]: 13.27 [6.51, 20.03]).

198
199 [Place table 1 here]

200 Predation risk influenced the latency and the way parents entered the nest (table 1).
201 Females took less time to visit the nest than their partners when under increased predation risk
202 (figure 2a; post hoc contrasts: Estimate [95% CI]: 0.65 (0.04, 1.27); appendices, table A1). The
203 nest approaching strategy (expressed by the mean difference between the number of nest arrivals
204 beneath the nest and the number of nest arrivals above the nest) was not affected by treatment.
205 However, when we analyzed the sexes separately, males (Wald χ^2 test; Treatment: $\chi^2 = 4.51$, $p <$

206 0.03; Estimate [95% CI]: 1.31 [0.09, 2.52]), but not females (Wald χ^2 test; Treatment: $\chi^2 = 0.05$,
207 $p = 0.82$), were more likely to arrive from below the nest during the predation risk treatment in
208 comparison with the control treatment (figure 2b).

209

210 [Place figure 2 here]

211 Finally, nest departure location (expressed by the mean difference between the number of
212 nest departures from under the nest and the number of nest departures from above the nest) was
213 not affected by treatment (figure 2c). However, predation risk influenced the leap display
214 executed by males while departing from nests. Males were around 200% less likely to execute
215 leap displays during nest departure under predation risk compared with the control treatment
216 (table 1; figure 3).

217

218 [Place figure 3 here]

219 DISCUSSION

220 We found that predation risk affected blue-black grassquit biparental care and other nest
221 related behaviors in several ways. First, contrary to our expectations, the number of nest visits by
222 either males or females was not affected by predation risk treatment. However, predation risk
223 affected the time both parents spent at the nest as we expected: males performed shorter visits
224 while females spent more time brooding during higher predation risk periods, in comparison to
225 low-risk situations. Despite the fact that the number of parental visits did not change based on
226 predation risk, they provided less food to offspring during the predation versus control treatment.
227 Females returned faster to nests under high predation risk, while males showed no difference in
228 latency to return to nests in this context. Females also preferred to enter nests from below

229 independent of predation risk, while males showed the same preference only during the predation
230 treatment. Lastly, males significantly decreased sexual display performances while departing
231 from nests when perceived predation risk was higher.

232 Our results show a general pattern of changes in sex-specific behaviors suggesting that in
233 high predation risk levels parents can decrease nest visual and acoustic detectability. These
234 patterns may be associated with the dichromatism of blue-black grassquits during the breeding
235 season. Grassquit males spent less time attending the nest during the predator treatments.
236 Because of their conspicuous colors in contrast to the savanna vegetation, males may facilitate
237 nest detection by predators during feeding visits. For example, results from a study of the highly
238 sexually dichromatic superb fairy-wren (*Malurus cyaneus*) showed that nest predation was
239 influenced by the time that males, but not females, spent at the nest (Colombelli-Négrel &
240 Kleindorfer, 2010). For the grassquits, not only are nests more easily detected visually when
241 males are present, but adult presence also entails nestling begging calls and this elevated noise
242 may increase nest acoustic detection (Briskie et al., 1999). Grassquit males solved this problem
243 during the predator treatment by conducting shorter feeding visits, which we can assume would
244 reduce nest exposure time to predator visual and acoustic detection.

245 A problem with shorter visits is the reduction of food load to offspring. This is possibly a
246 solution to the trade-off between offspring predation risk versus starvation risk: by reducing food
247 load to nestlings (i.e. increasing starvation risk) in shorter visits, males can reduce nest predation
248 risk. Other studies have found the same pattern across several bird species, where parents
249 decrease food provisioning when faced with an immediate increase in nest predation risk
250 (reviewed by Martin & Briskie, 2009). Our data also suggest another way that males adjust their
251 behavior to decrease nest visual detectability. In the predation treatment males stopped landing

252 directly over the nests and preferred to land farther away, entering the nest from the vegetation
253 below. This strategy may confuse predators that visually stalk grassquit males, since it is harder
254 to follow prey through a dense vegetation structure (Denno et al., 2005). Finally, males
255 drastically reduced their frequency of sexual display performance while leaving the nest when
256 predation risk was high. Predators can find nests using male sexual displays as a visual cue (Dias
257 et al., 2010) and waiting for males to emerge from vegetation and monitoring for sexual displays
258 is an efficient method for detecting grassquit nests (pers. observ.). Therefore, males presumably
259 are decreasing nest predation risk by reducing sexual display performance near the nest.

260 Meanwhile, grassquit females exhibit a different behavioral repertoire with the similar
261 task of decreasing nest detectability. Grassquit females have a barred plumage that blends with
262 the vegetation background (Gluckman & Cardoso, 2010). When predation risk is high, females
263 return sooner to the nest and perform longer brooding bouts. This may be a strategy to disrupt the
264 predator's search image (Langley, 1996). There are two typical search images that are helpful
265 when visually searching for grassquit nests: the white grassquit eggs against the nest material and
266 the nestlings' reddish gaping beaks when begging for food (pers. obs.). By sitting on the nest,
267 females hide eggs and suppress nestling begging. Nestlings start to beg with sudden movements
268 on the vegetation supporting the nest and this may happen when a predator lands or moves along
269 the same substrate where the nest is located (Leonard et al., 2005), which may not only increase
270 nest visual detectability (red gaping beaks) but also increases noise from nestling begging calls.
271 Therefore, females can decrease nest visual and acoustic detectability by increasing duration of
272 brooding bouts. Although this strategy may decrease nest predation risk, it increases offspring
273 starvation risk since females reduce nestling food provisioning during brooding. Thus, grassquit
274 females exhibit a behavior similar to that of males in face of the predation versus starvation risk

275 trade-off by choosing to decrease nestling food load when predators are nearby (Martin &
276 Briskie, 2009).

277 Unlike males, however, grassquit females tend to enter the nest from below independent
278 of predation risk. Such female cautious behavior independent of predation risk may be associated
279 with another facet of the grassquit breeding system, that is, the high rates of extra-pair copulation
280 (Carvalho et al., 2006; Manica et al., 2016). Grassquit males engage in extra-pair copulations
281 with neighboring females and may thus distribute their offspring across several nests during the
282 same breeding season. On the other hand, intraspecific parasitism is rare for this species
283 (Carvalho et al., 2006), so grassquit females typically concentrate their offspring in one nest at a
284 time. Therefore, the cost associated with nest predation is higher for females than for males.
285 Accordingly, we should expect the evolution of more cautious behaviors around the nest (i.e.
286 entering the nest more discreetly) for females, while males can be expected to invest in strategies
287 that increase extra-pair copulation opportunities, such as performing showy sexual displays while
288 departing from the nest, when predation risk is low.

289 A tricky problem faced by grassquits when adopting antipredator strategies is the
290 increased starvation risk of the offspring. Our experiment used a brief predation stimulus that
291 lasted for only two hours and increased the perceived risk during a specific offspring ontogenetic
292 stage (5 ± 1 days old). Different predation risk regimes (e.g. chronic predation risk or
293 taxidermized predator models as stimulus) may interact with different ontogenetic pressures and
294 yield different solutions to the predation versus starvation risk trade-off. Therefore, further
295 studies using other types of predation risk treatment and at different offspring ontogenetic stages
296 may uncover other adaptive parental behaviors (e.g. Pavel & Bureš, 2001).

297 There is a marked geographic bias in nest predation research (Ibáñez-Álamo et al., 2015).
298 This scarcity of data from underrepresented tropical regions does not allow generalizations about
299 the evolution of anti-predator behaviors in predator-rich environments. In this context, our
300 findings are important because they suggest that predation risk affects biparental care in blue-
301 black grassquits, and that the sexes respond with different strategies that together presumably
302 reduce nest visual and acoustic detectability. The different sex roles exhibited by grassquit
303 parents are probably linked to the sexual dichromatism in this species. Further studies with
304 tropical species should take sexual dichromatism into account when analyzing the effects of nest
305 predation risk on biparental care. Likewise, the response of monochromatic species to nest
306 predation should also be investigated as a control to sexual dichromatism.

307

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315

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Table 1. Effect of predator risk on parental behaviors in blue-black grassquits. We show results of Type II Wald chi-square tests on (generalized) linear mixed models for eight response variables. We also show estimates for predictor effects. Degrees of freedom = 1. Significant effects including estimates with 95% confidence intervals not crossing zero are highlighted in bold.

	Provisioning behavior		Nest attendance		Nest arrival and departure			
	Feeding visits ¹	Food load ²	Time males spent at nest (min)	Time females spent brooding (min)	Latency to visit (s, log)	Nest arrival ^{3†}	Nest departure ^{4†}	Leap display ^{5†}
Fixed effects	χ^2 (<i>P</i>)							
Sex	0.40 (0.53)	0.02 (0.90)	-	-	0.59 (0.44)	3.03 (0.08)	1.62 (0.20)	
Treatment	1.50 (0.22)	7.67 (0.006)	6.01 (0.01)	3.85 (0.0497)	0.01 (0.91)	1.90 (0.17)	0.69 (0.41)	12.29 (0.0005)
Sex *	0.41 (0.52)	0.0054 (0.94)	-	-	5.48 (0.02)	1.35 (0.25)	0.86 (0.35)	
Treatment								
Daytime	7.02 (0.008)	10.85 (0.001)	0.82 (0.37)	0.88 (0.35)	0.88 (0.35)	0.004 (0.95)	0.30 (0.59)	1.42 (0.23)
	Estimate (95% CI)							
Intercept	1.21 (1.48, 0.94)	3.07 (3.46, 2.68)	2.80 (1.35, 4.25)	20.70 (8.32, 33.10)	7.02 (6.57, 7.47)	2.08 (0.41, 3.75)	-1.95 (-3.30, -0.60)	0.80 (-0.36, 1.95)
Sex (male)	-0.02 (-0.37, 0.33)	-0.02 (-0.55, 0.51)			-0.23 (-0.82, 0.36)	-2.31 (-0.11, -4.51)	-1.40 (-3.14, 0.34)	
Treatment (Predator)	-0.07 (-0.38, 0.24)	-0.33 (-0.66, 0)	-0.91 (-1.63, -0.18)	9.70 (0.02, 19.38)	-0.44 (-0.95, 0.07)	0.16 (-1.25, 1.57)	-0.02 (-1.47, 1.43)	-1.89 (-2.95, -0.83)
Sex *	-0.15 (-0.60, 0.30)	-0.02 (-0.49, 0.45)	-	-	0.88 (0.51, 1.25)	1.21 (-0.83, 3.25)	1.00 (-1.12, 3.12)	
Treatment								
Daytime	0.31 (0.07, 0.55)	0.41 (0.17, 0.65)	0.34 (-0.40, 1.08)	-4.72 (-14.60, 5.16)	-0.18 (-0.92, 0.56)	0.03 (-1.03, 1.09)	-0.30 (-1.38, 0.78)	-0.60 (-1.58, 0.38)

¹In two-h focal session; ²number of times parent feeds nestlings during visit; ³mean difference between number of nest arrivals under and above the nest; ⁴mean difference between number of nest departures under and above the nest; ⁵proportion of nesting visits that male conducted display while leaving the nest; †cases where parent did not visit the nest were excluded.

FIGURE LEGENDS

Figure 1. Effect of simulated increase in predation risk upon provisioning behavior and nest attendance in blue-black grassquits (*Volatinia jacarina*). (a) Number of nest visits performed by females (green) and males (blue) in two predation risk treatments (control and predator); (b) Food load given to nestlings by females and males; (c) Time spent in the nest by males and time spent brooding by females.

Figure 2. Effect of simulated increase in predation risk upon parental behavior during nest arrival and nest departure in blue-black grassquits (*Volatinia jacarina*). (a) Latency to visit the nest by females (green) and males (blue) in two predation risk treatments (control and predator); (b) Frequency of nest arrivals under and above the nest by females (left) and males (right) in two predation risk treatments: control (dark bars) and predator (light bars); (c) Frequency of nest departures from under and above the nest by females (left) and males (right) in two predation risk treatments. Means and 95% CI in figures b and c. Numbers on the bottom of the bars indicate the number of individuals with ≥ 1 nesting visits.

Figure 3. Effect of simulated increase in predation risk upon male leaping display during nest departure in blue-black grassquits (*Volatinia jacarina*).

Figure 1

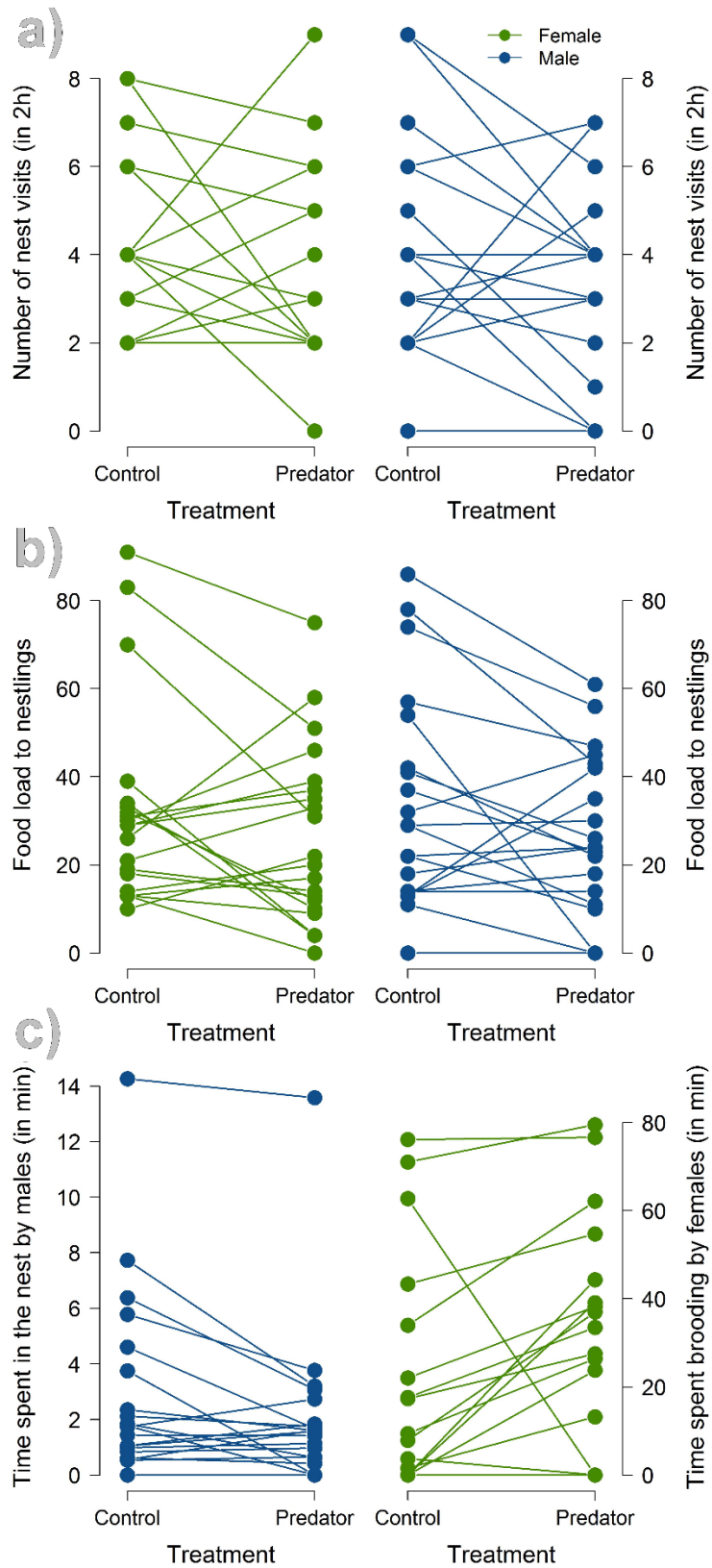


Figure 2

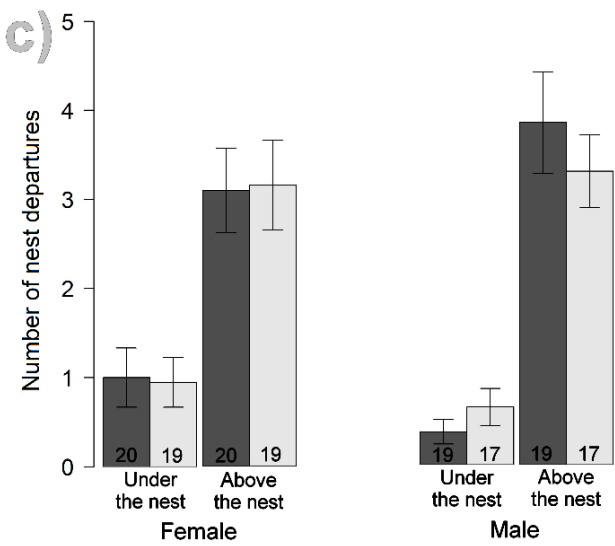
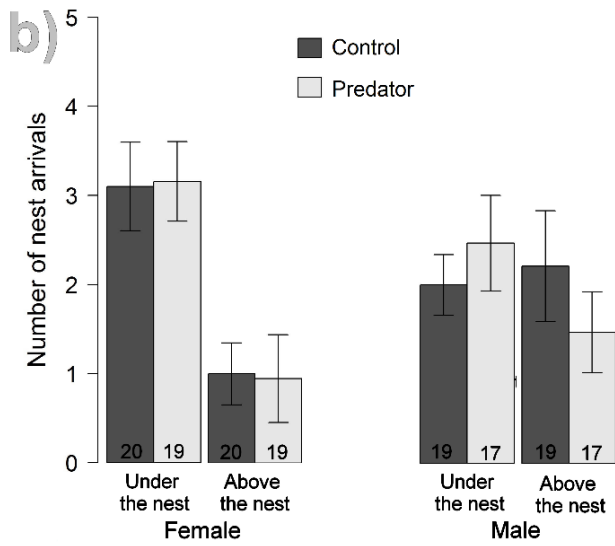
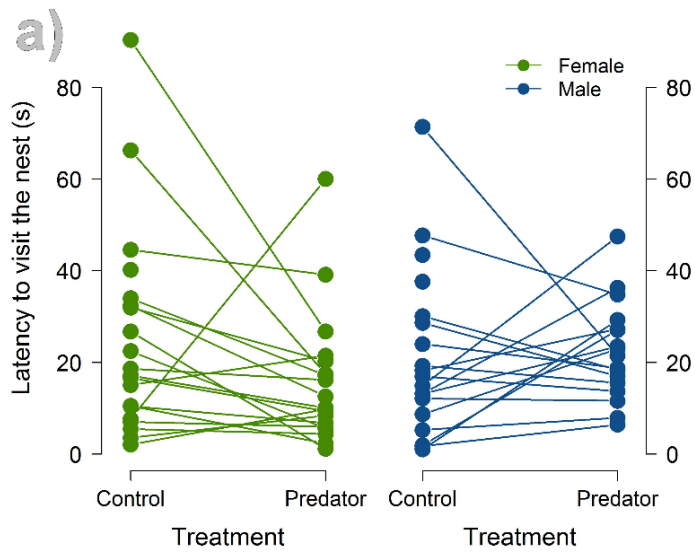
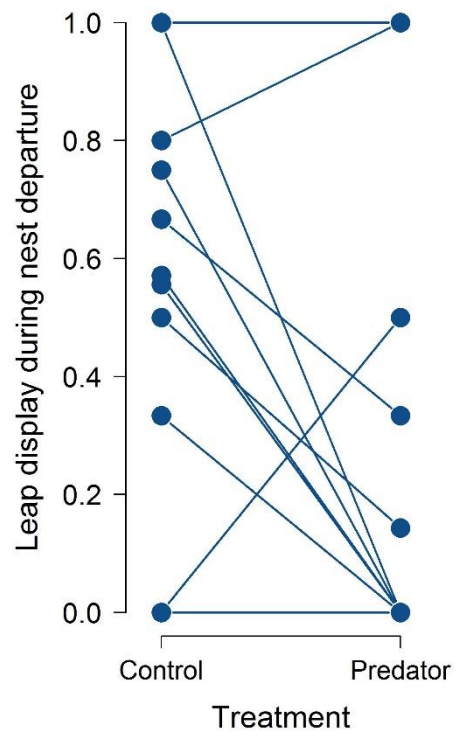


Figure 3



APPENDICES

Table A1. Full models built to analyze variation in parental behaviors in response to manipulated predation risk in blue-black grassquits.

Response variable	Family	Predictor variables	Random effects	Sample size	
				Female (Control, Treatment)	Male (Control, Treatment)
Provisioning behavior					
Feeding visits ¹	Poisson	Sex * Treatment + Daytime	Bird ID nested in Pair ID	20, 20	20, 20
Food load ²	Poisson	Sex * Treatment + Daytime	Bird ID nested in Pair ID + Observation level ⁷	20, 20	20, 20
Nest attendance					
Time spent at nest [†]	Gaussian	Treatment + Daytime	Male ID		20, 20
Time spent brooding [‡]	Gaussian	Treatment + Daytime	Female ID	20, 20	
Nest arrival and departure					
Latency to visit ^{3*}	Gaussian	Sex * Treatment + Daytime	Bird ID nested in Pair ID	20, 19	19, 17
Nest arrival position ^{4*}	Gaussian	Sex * Treatment + Daytime	Bird ID nested in Pair ID	20, 19	19, 17
Nest departure position ^{5*}	Gaussian	Sex * Treatment + Daytime	Bird ID nested in Pair ID	20, 19	19, 17

Display performance^{6*†} Binomial Treatment + Daytime Male ID 19, 17

¹in 2-h focal session; ²number of times a parental gives food to nestlings during a nesting visit; ³in seconds, log-transformed; ⁴mean difference between the number of nest arrivals under the nest and the number of nest arrivals above the nest; ⁵mean difference between the number of nest departures under the nest and the number of nest departures above the nest; ⁶proportion of nesting visits that a male conducted a leaping display when flew to leave the nest; ⁷factor containing observation level effect to control for overdispersion in Poisson model; *cases where parent did not visit the nest were excluded; † only males; ‡only females.

DISCUSSÃO GERAL

1 Nesta tese, eu investiguei como o risco de predação afeta diversos comportamentos do
2 repertório reprodutivo do tiziu. O risco de predação é uma das pressões seletivas mais
3 importantes a moldar traços morfológicos e comportamentais em animais (Lima & Dill, 1990).
4 Logo, espera-se que animais que convivem com alto risco de predação apresentem adaptações
5 que promovam o seu sucesso reprodutivo nestas condições. Como a região Neotropical apresenta
6 altas taxas de predação (Ricklefs, 1969; Skutch, 1985), espécies neotropicais podem servir de
7 modelos de estudos que investiguem como animais se adaptam, sobrevivem e reproduzem em
8 ambientes de alto risco. Assim, eu utilizei o tiziu (*Volatinia jacarina*) como espécie de estudo e
9 executei experimentos durante a estação reprodutiva desta ave no planalto central brasileiro.

10 No primeiro capítulo, eu investiguei como tizius machos modificam seus *displays* aéreos
11 de acordo com o risco de predação. Três hipóteses foram testadas, cada qual prevendo um
12 cenário diferente de resposta dos machos: (1) a hipótese focada na sobrevivência, onde machos
13 devem priorizar sobrevivência e reduzir a intensidade de seus *displays* com o maior risco de
14 predação (Lima & Dill, 1990; Magnhagen, 1991); (2) a hipótese do princípio da desvantagem
15 (Zahavi, 1975), prevendo que machos devem aumentar a intensidade de seus *displays* com o
16 maior risco; (3) a hipótese da proteção de recursos (Clark, 1994) combinada com a hipótese do
17 investimento terminal (Pianka & Parker, 1975; Trivers, 1972; Williams, 1966), onde a resposta
18 do macho ao risco de predação seria dependente de seu valor reprodutivo residual.

19 Como resultado, foi observado que o risco de predação afeta o *display* sexual de machos
20 de tiziu, mais especificamente a duração dos saltos executados durante o *display*. Saltos mais
21 longos também são mais altos e apresentam um maior número de batidas de asas (Manica et al.,
22 2017), sendo, portanto, mais conspícuos. Este efeito do risco de predação sobre a duração do
23 salto variou de acordo com duas características do macho: a carga de ectoparasitas nas asas e a

24 cobertura de plumagem nupcial. Machos mais parasitados aumentaram a duração do salto em
25 situações de maior risco, em comparação com o tratamento controle. Já machos menos
26 parasitados não apresentaram uma variação na duração do salto em relação ao risco de predação.
27 Machos menos ornamentados (i.e. menor cobertura de plumagem nupcial) apresentaram
28 resultados semelhantes aos mais parasitados, aumentando a duração dos saltos com um maior
29 risco de predação. Machos mais ornamentados, por sua vez, não modificaram a duração do salto
30 de acordo com o risco de predação. Também foi encontrada uma relação negativa entre o índice
31 de condição corporal (peso/tarso) e a duração dos saltos, onde machos em piores condições
32 apresentaram saltos mais longos e machos em melhores condições, por sua vez, apresentaram
33 saltos mais curtos. Contudo, esta relação não interagiu com o tratamento de risco de predação.

34 Os resultados do capítulo 1 deram suporte à hipótese do investimento terminal (Pianka &
35 Parker, 1975; Trivers, 1972; Williams, 1966). Primeiro, porque indivíduos mais parasitados
36 aumentaram o investimento reprodutivo (i.e. maior intensidade de *display*) com o maior risco de
37 mortalidade extrínseca. A depender do custo do parasitismo, alguns indivíduos podem não
38 sobreviver até a próxima estação reprodutiva (Brown et al., 1995; Richner & Tripet, 1999), de
39 modo que seu potencial reprodutivo residual será baixo. Ao aumentarem a duração dos saltos
40 com o maior risco de predação, machos mais parasitados estão investindo em reprodução atual
41 possivelmente para compensar a sua baixa probabilidade de reproduzir no futuro. De modo
42 semelhante, machos menos ornamentados também passaram a investir mais em reprodução atual
43 com o aumento do risco de mortalidade extrínseca. Na visão tradicional da seleção intersexual,
44 machos sinalizam saúde e resistência a parasitas através da exibição de ornamentos sexuais
45 plenamente desenvolvidos e realizando *displays* sexuais dinâmicos (Andersson, 1994; Hamilton
46 & Zuk, 1982). A expressão honesta destes caracteres sexuais secundários é comumente associada

47 a um maior sucesso reprodutivo (Sheldon et al., 1997) e, sendo assim, machos que apresentam
48 ornamentos sexuais incompletos podem ter um menor sucesso reprodutivo residual. Em resumo,
49 machos de tiziu com menor valor reprodutivo residual (i.e. mais parasitados e menos
50 ornamentados) aumentaram a intensidade de seus *displays* com o maior risco de predação e,
51 deste modo, passaram a apresentar uma estratégia de investimento terminal.

52 No segundo capítulo, eu investiguei como fêmeas de tiziu modificam seus padrões de
53 escolha de parceiro em diferentes níveis de risco de predação. Dois cenários hipotéticos foram
54 testados, com o primeiro baseado na premissa de que comportamentos arriscados são
55 sexualmente selecionados (Zahavi, 1975). Este cenário prevê que fêmeas devem preferir machos
56 que apresentam *displays* mais intensos e que esta preferência deve aumentar com o maior risco
57 de predação. A segunda hipótese testada tem como premissa o maior risco de predação sofrido
58 por fêmeas (e sua prole) ao se associarem a machos que executam *displays* mais conspícuos
59 (Dias et al., 2010; Marzal et al., 2016). Esta hipótese prevê, portanto, que fêmeas devem escolher
60 seus parceiros ao acaso em situações de maior risco, ou mesmo evitar machos de *displays* mais
61 chamativos, preferindo machos que sejam mais discretos.

62 Como resultado, não foi encontrada uma relação entre risco de predação e escolha de
63 parceiro pelas fêmeas, as quais não apresentaram preferência por nenhum estímulo de macho nos
64 diferentes níveis de risco de predação simulado aos quais foram submetidas. Estes resultados
65 estão de acordo com outros estudos de escolha de parceiros já realizados com tizius. Até então,
66 fêmeas de tiziu têm se mostrado indiferentes à qualidade do território de machos e à
67 disponibilidade de recursos nos mesmos (Almeida & Macedo, 2001), ao nível de parasitismo
68 (Aguilar et al., 2007) e a alguns atributos morfológicos dos machos (Dias et al., 2014).
69 Possivelmente, fêmeas de tiziu escolhem seus parceiros de maneira passiva ou indireta, ou seja,

70 dependente da competição intrasexual (Wiley & Poston, 1996). Neste cenário, fêmeas devem
71 ser atraídas a machos que conseguem estabelecer e defender territórios com substratos
72 apropriados para a construção de ninhos. Estudos com machos em ambiente natural mostraram
73 que certos atributos dos mesmos podem resultar em um maior sucesso de cópula, como
74 permanecer por mais tempo em seus territórios, executando *displays* por longos períodos, em
75 maiores frequências e saltando mais alto (Carvalho et al., 2006; Manica et al., 2016). No
76 contexto de seleção intrasexual, estes atributos podem determinar o status de um macho e,
77 portanto, influenciar a sua capacidade de manter um território. Outra evidência para a proposta
78 da escolha de parceiros por competição entre machos vem de experimentos com grupos com
79 razões sexuais distintas, onde indivíduos em cativeiro eram divididos em grupos contendo
80 apenas machos, grupos mistos ou apenas pares (Lacava et al., 2011; Maia et al., 2012). Estes
81 experimentos demonstraram que machos em um ambiente mais competitivo apresentam maiores
82 concentrações plasmáticas de testosterona, são mais agressivos, desenvolvem suas plumagens
83 nupciais mais rápido, mais cedo e com maior reflexão de ultravioleta. Depois da formação do par
84 reprodutivo, fêmeas de tiziu podem realizar cópulas extra-par não necessariamente pela
85 qualidade dos machos disponíveis (Dias et al., 2014), mas sim para aumentar a viabilidade da
86 prole (e.g. Simmons, 2005) ou para obter recursos (e.g. Tryjanowski & Hromada, 2005).
87 Portanto, a escolha de parceiros no tiziu pode se dar não pela escolha direta da fêmea por
88 atributos dos machos, mas através da competição sexual e do status do macho.

89 No terceiro capítulo, eu avaliei se o risco de predação influencia o cuidado biparental do
90 tiziu e se este efeito depende do sexo. Como o comportamento dos pais pode indicar a
91 predadores a localização do ninho (Martin et al., 2000), o cuidado parental deve ser reduzido a
92 fim de se diminuir o risco de predação sobre o ninho. No entanto, filhotes em desenvolvimento

93 demandam atenção constante e reduzir as visitas de alimentação ao ninho pode ser prejudicial ao
94 desenvolvimento dos ninhegos. Portanto, o cuidado parental sob risco de predação deve ser
95 otimizado de modo a se evitar a morte da ninhada por inanição e por predação (Ghalambor et al.,
96 2013). Um possível fator a influenciar o cuidado parental dos tizius é o dicromatismo sexual
97 desta espécie (Maia & Macedo, 2011). Espera-se que machos vistosos como o tiziu aumentem a
98 detectabilidade do ninho quando visitam o mesmo, resultando no aumento do risco de predação
99 sofrido pela prole (Colombelli-Négrel & Kleindorfer, 2010). Fêmeas de tiziu, por sua vez,
100 apresentam uma plumagem amarronzada críptica que as camufla na vegetação de fundo (*barred*
101 *plumage*; Gluckman & Cardoso, 2010). Portanto, fêmeas diminuem a detectabilidade do ninho
102 ao incubar a prole, diminuindo o risco de predação sofrido pela mesma. Para avaliar todos estes
103 pressupostos, eu expus ninhos de tiziu em campo a diferentes tratamentos de risco de predação e
104 monitorei a resposta dos pais.

105 Os resultados mostraram que o risco de predação afetou o comportamento biparental no
106 tiziu e que a resposta ao risco dependeu do sexo. Primeiro, o número de visitas ao ninho não foi
107 afetado pelos tratamentos de risco de predação. No entanto, o tempo passado no ninho foi
108 afetado e houve uma variação ligada ao sexo nesta resposta. Machos executaram visitas mais
109 curtas quanto maior o risco de predação, enquanto fêmeas passaram mais tempo sobre os
110 ninhegos com o aumento do risco. As fêmeas também voltaram mais rápido ao ninho na primeira
111 visita após o início do tratamento predatório, enquanto machos não apresentaram este
112 comportamento. Fêmeas também foram mais discretas em sua entrada no ninho independente do
113 risco de predação, preferindo entrar no ninho pela vegetação embaixo do mesmo. Machos apenas
114 apresentaram esta preferência quando o risco de predação era maior. Machos também reduziram
115 drasticamente a frequência de *displays* executados ao saírem do ninho durante o tratamento

116 predatório. Por fim, tizius forneceram menos alimento aos filhotes com o maior risco de
117 predação.

118 Os resultados do capítulo 3 apontam uma estratégia parental de redução da
119 detectabilidade visual e acústica do ninho com o maior risco de predação. Os machos, de
120 coloração mais conspícua, diminuem o tempo em que são vistos no ninho e passam a ser mais
121 discretos tanto na entrada (evitam pousar direto no ninho e preferem entrar por meio da
122 vegetação de baixo) como na saída (param de executar *displays* ao saírem do ninho). Outro
123 problema enfrentado pelos pais são as vocalizações de súplica emitidas pelos filhotes quando há
124 movimentação no ninho ou na vegetação ao redor. O ruído gerado pelos filhotes pode guiar
125 predadores até o ninho (Briskie et al. 1999). Deste modo, ao reduzir o tempo no ninho, machos
126 também diminuem o tempo em que os filhotes vocalizam e, assim, reduzem a detecção acústica
127 do ninho. Já as fêmeas, de coloração mais críptica, sentam-se sobre os filhotes e anulam a
128 imagem de busca dos predadores (i.e. a coloração e formato dos ovos ou a boca avermelhada dos
129 ninhegos durante o comportamento de súplica). Além disso, ao sentarem-se sobre os filhotes, as
130 fêmeas suprimem a súplica que pode porventura ser iniciada caso o predador pouse ou se
131 desloque pela vegetação que serve de substrato ao ninho. Assim, ao aumentarem o tempo no
132 ninho, fêmeas reduzem a detectabilidade visual e acústica do mesmo, resultando em um menor
133 risco de predação para a prole. Um custo decorrente da resposta dos pais ao risco de predação é o
134 aumento do risco de inanição sofrido pela prole. Esta resposta é comumente observada em aves
135 de zonas temperadas e tropicais (Ghalambor et al. 2013) e, no caso do tiziu, é possível que seja
136 decorrente da breve exposição (apenas duas horas) do ninho a *playbacks* acústicos de predadores.
137 Diferentes simulações de risco de predação, como a apresentação de predadores taxidermizados
138 ou a exposição crônica ao risco, podem gerar novos padrões de resposta ao *trade-off* entre risco

139 de predação e inanição.

140

141 CONCLUSÕES

142 1. O risco de predação influencia o comportamento reprodutivo do tiziu, especificamente
143 a execução de *displays* aéreos pelos machos e o cuidado com a prole por ambos os progenitores.

144 2. A influência do risco de predação sobre a performance dos *displays* depende também
145 da qualidade do macho.

146 3. Machos menos parasitados e que apresentam mais ornamentos não são afetados pelo
147 risco de predação quando executam seus *displays*.

148 4. Machos com mais parasitas e menos ornamentados aumentam a intensidade de seus
149 *displays* com o maior risco de predação, uma estratégia de investimento terminal.

150 5. Fêmeas não se baseiam no risco de predação para escolher seus parceiros.

151 6. É provável que fêmeas façam esta escolha indiretamente, baseando-se no status do
152 macho estabelecido em seleção intrasexual.

153 7. Durante o cuidado com a prole, ambos os sexos modificam seus comportamentos de
154 maneira distinta, mas com o resultado final de diminuir a detectabilidade visual e acústica do
155 ninho.

156 8. Com o maior risco de predação, machos diminuem o tempo que passam no ninho, são
157 mais discretos em suas entradas e evitam decolar dos mesmos executando *displays* sexuais.

158 9. Fêmeas passam mais tempo no ninho incubando os ninhegos quando o risco de
159 predação é alto.

160 10. Estas diferenças provavelmente estão ligadas ao dicromatismo sexual dessa espécie:
161 machos vistosos atraem atenção visual para o ninho e ativam chamados de súplica dos filhotes,

162 enquanto fêmeas crípticas camuflam os filhotes e diminuem o ruído no ninho durante a
163 incubação.

164 11. Todas estas respostas ao risco de predação resultam na menor oferta de alimento à
165 prole, logo tizius escolhem diminuir o risco de predação sofrido pela prole mesmo que isso
166 resulte em um maior risco de inanição.

167

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